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Description automatically generated**

**Expression of Interest**

1st Edition

December 2020

insert

project logo

(if existing)

*Ministry of Agriculture*

Participatory Agriculture and Climate Transformation (PACT) Programme

**Expression of Interest**

(by the consulting firm in response to the REOI issued by the procuring entity)

for

**Baseline Study**

Ref No:EOI/PACT\_Baseline\_C05/2025

**Issue Date: 20 April 2025**

**Foreword**

This document has been prepared Ministry of Agriculture and is based on the 1st edition of the IFAD-issued standard procurement document for expression of interest available at [www.ifad.org/project-procurement](http://www.ifad.org/project-procurement). This bidding document is to be used for the procurement of services using *QCBS* in projects financed by IFAD.

IFAD does not guarantee the completeness, accuracy or translation, if applicable, or any other aspect in connection with the content of this document.

***[No texts in red font or square brackets shall remain once the REOI is resolved and finalised]***

**Instructions to Consultants**[[1]](#footnote-1)

**Reference Number:** EOI/PACT\_Baseline\_C05/2025

***[insert date]***

**Assignment Title:** **Baseline Study**

The *Ministry of Agriculture* has received financing from the International Fund for Agricultural Development (“the Fund” or “IFAD”) towards the cost of *Participatory Agriculture and Climate Transformation (PACT) Programme* (“the client” or “procuring entity”), and intends to apply part of the proceeds for the recruitment of consulting services, for which this REOI is issued.

The use of any IFAD financing shall be subject to IFAD’s approval, pursuant to the terms and conditions of the financing agreement, as well as IFAD’s rules, policies and procedures. IFAD and its officials, agents and employees shall be held harmless from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any party in connection with Participatory Agriculture and Climate Transformation (PACT) Programme*.*

The client now invites expressions of interest (EOIs) from legally constituted consulting firms (not individual consultants) (“consultants”) to provide Baseline Study consultancy service. More details on these consulting services are provided in the preliminary terms of reference (PTOR) attached as Annex 1. The consultant may sub-contract selected activities provided that said services do will not exceed 20% of the total consultancy work.

Before preparing its EOIs, the consultant is advised to review the preliminary terms of reference attached as Annex 1, which describe the assignment and Annex 2 that details the evaluation of the technical qualifications.

The consultant shall not have any actual, potential or reasonably perceived conflict of interest. A consultant with an actual, potential or reasonably perceived conflict of interest shall be disqualified unless otherwise explicitly approved by the Fund. A consultant including their respective personnel and affiliates are considered to have a conflict of interest if they a) have a relationship that provides them with undue or undisclosed information about or influence over the selection process and the execution of the contract, b) participate in more than one EOI under this procurement action, c) have a business or family relationship with a member of the client’s board of directors or its personnel, the Fund or its personnel, or any other individual that was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of this expression of interest, (ii) the selection process for this procurement, or (iii) execution of the contract. The consultant has an ongoing obligation to disclose any situation of actual, potential or reasonably perceived conflict of interest during preparation of the EOI, the selection process or the contract execution. Failure to properly disclose any of said situations may lead to appropriate actions, including the disqualification of the consultant, the termination of the contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations[[2]](#footnote-2).

All consultants are required to comply with the Revised IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations (hereinafter, “IFAD’s Anticorruption Policy”) in competing for, or in executing, the contract.

* 1. If determined that a consultant or any of its personnel or agents, or its sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices defined in IFAD’s Anticorruption Policy or integrity violations such as sexual harassment, exploitation and abuse as established in IFAD’s Policy to Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse[[3]](#footnote-3) in competing for, or in executing, the contract, the EOI may be rejected or the contract may be terminated by the client.
  2. In accordance with IFAD’s Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. The Fund also has the right to recognize debarments issued by other international financial institutions in accordance with its Anticorruption Policy.
  3. Consultants and any of their personnel and agents, and their sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and any of their personnel and agents are required to fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to this selection process or the execution of the contract and to have such accounts, premises, records and documents audited and/or inspected by auditors and/or investigators appointed by the Fund.
  4. Consultants have the ongoing obligation to disclose in their EOI and later in writing as may become relevant: (i) any administrative sanctions, criminal convictions or temporary suspensions of themselves or any of their key personnel or agents for fraud and corruption, and (ii) any commissions or fees paid or to be paid to agents or other parties in connection with this selection process or the execution of the contract. As a minimum, consultants must disclose the name and contact details of the agent or other party and the reason, amount and currency of the commission or fee paid or to be paid. Failure to comply with these disclosure obligations may lead to rejection of the EOI or termination of the contract.
  5. Consultants are required to keep all records and documents, including electronic records, relating to this selection process available for a minimum of three (3) years after notification of completion of the process or, in case the consultant is awarded the contract, execution of the contract.

The Fund requires that all beneficiaries of IFAD funding or funds administered by IFAD, including the client, any consultants, implementing partners, service providers and suppliers, observe the highest standards of integrity during the procurement and execution of such contracts, and commit to combat money laundering and terrorism financing consistent with IFAD’s Anti-Money Laundering and Countering the Financing of Terrorism Policy.[[4]](#footnote-4)

Procedure: the selection process will be conducted using *QCBS* as laid out in the IFAD Pprocurement Handbook that can be accessed via the IFAD website at [www.ifad.org/project-procurement](http://www.ifad.org/project-procurement). The client will evaluate the EOIs using the criteria provided in Annex 2. The shortlisted consultant(s) will be provided with the detailed TORs and asked to submit a detailed technical and financial offer. The evaluation will include a review and verification of qualifications and past performance, including a reference check, prior to the contract award.

Consultants may associate with other firms to enhance their qualifications but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected.

Any request for clarification on this EOI including the PTOR should be sent via e-mail to the address below no later than 12 April 2025 12:00PM EAT. The client will provide responses to all clarification requests by 14 April 2025 12:00PM EAT.

Submission Procedure: please submit your expression of interest using the forms provided for this purpose. Your EOI should comprise one (1) original copy of each EOI form annexed to this document. EOIs shall be submitted to the address below no later than 21 April 2025 12:00PM EAT.

Ministry of Agriculture, PACT

Procurement Executive

Block B, R.No. B2-2/ Block C, Room No. B2-1

Attn: Abduselam Ali /Degayehu Deso

Next to Century Mall

Addis Ababa, Ethiopia

Tel: +251 986 88 61 54

E-mail: selitude@gmail.com

Ministry of Agriculture

Yours sincerely,

---------------------

*[Authorized Official]*

*[Name of Client / Procuring Entity]*

**Form EOI-1**

**EOI Submission Form**

*[Location, date]*

*[Authorized official]*

**Re: Consulting Services for *[insert assignment]***

**Ref: *[insert]***

We, the undersigned, declare that:

1. We are expressing our interest in providing the consulting services for the above-mentioned assignment and have no reservations to the REOI, the instructions to the consultants and any addenda thereto*.*
2. Our expression of interest is open for acceptance for a period of ninety (90) days.
3. Our firm, its associates, including any subcontractors or suppliers for any part of the contract, have not been declared ineligible by the Fund and have not been subject to sanctions or debarments under the laws or official regulations of the client’s country or not been subject to a debarment recognized under the Agreement for Mutual Enforcement of Debarment Decisions (the "Cross-Debarment Agreement")[[5]](#footnote-5), beyond those declared in paragraph 9 of this EOI submission form.
4. We acknowledge and accept the IFAD Revised Policy on Preventing Fraud and Corruption in its Activities and Operations. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any prohibited practices as provided in ITC Clause 6. Further, we acknowledge and understand our obligation to report to [anticorruption@ifad.org](mailto:anticorruption@ifad.org) any allegation of prohibited practice that comes to our attention during the selection process or the contract execution.
5. No attempt has been made or will be made by us to induce any other consultant to submit or not to submit an EOI for the purpose of restricting competition.
6. We acknowledge and accept the IFAD Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any sexual harassment, sexual exploitation or abuse. Further, we acknowledge and understand our obligation to report to [ethicsoffice@ifad.org](mailto:ethicsoffice@ifad.org) any allegation of sexual harassment, sexual exploitation and abuse that comes to our attention during the selection process or the contract execution.
7. The following commissions, gratuities, or fees have been paid or are to be paid with respect to the selection process: *[Insert complete name of each recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity.]*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of Recipient | Address | Reason | Amount | Currency |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

(If none has been paid or is to be paid, indicate “none.”)

1. We declare that neither our consulting firm nor any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners have any actual, potential or perceived conflict of interest as defined in ITC Clause 5 regarding this selection process or the execution of the contract. *[insert if needed: “other than the following:” and provide a detailed account of the actual, potential or perceived conflict].* We understand that we have an ongoing disclosure obligation on such actual, potential or perceived conflicts of interest and shall promptly inform the client and the Fund, should any such actual, potential or perceived conflicts of interest arise at any stage of the procurement process or contract execution.
2. The following criminal convictions, administrative sanctions (including debarments) and/or temporary suspensions have been imposed on our consulting firm and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension) | Imposed by | Name of party convicted, sanctioned or suspended (and relationship to the consultant) | Grounds for the measure (i.e., fraud in procurement or corruption in contract execution) | Date and time (duration) of measure |
|  |  |  |  |  |
|  |  |  |  |  |

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate “none”.

1. We acknowledge and understand that we shall promptly inform the client about any material change regarding the information provided in this EOI submission form.
2. We further understand that the failure to properly disclose any of information in connection with this EOI submission form may lead to appropriate actions, including our disqualification as consultant, the termination of the contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations.
3. We understand that you are not bound to accept any EOI that you may receive.

|  |  |
| --- | --- |
| *[Authorized signatory]* |  |
| *[Name and title of signatory]* |  |
| *[Name and address of firm]* |  |

Form EOI-2   
Organization of the Consultant

**Re: Consulting Services for *[insert assignment]***

**Ref: *[insert]***

*[Provide a brief description of the background and organization of your firm/entity and of each associated firm for this assignment. Include the organization chart of your firm/entity. The EOI must demonstrate that the consultant has the organizational capability and to carry out the assignment. The qualifications document shall further demonstrate that the consultant has the capacity to field and provide experienced replacement personnel on short notice. Key staff CVs are not required at the shortlisting stage.]*

|  |  |
| --- | --- |
| Name of the firm |  |
| Date of establishment |  |
| Country of registration |  |
| Full address of the firm |  |
| Focal point: name, position, contact information (telephone, email): | Name: |
| Tel: |
| Email: |
| Number of branches in the country |  |
| Country(ies) of operations with number of branches in each country |  |
| Number of full-time employees |  |
| Number of part-time employees |  |
| Field(s)of expertise of the firm |  |
| Number of professional staff with experience related directly to the assignment |  |
| Subsidiary and associated companies (*wherever applicable*):  (details in the following format to be provided for all associates) –   * 1. Name of the company   2. Nature of business   3. Address of the company   4. Website of the company   5. Brief description of company (maximum of 120 words) |  |
| Any other information that the consultant would like to add: |  |

**Maximum 10 pages**

Form EOI-3

Experience of the Consultant

**Re: Consulting Services for** *[insert assignment]*

**Ref:** *[insert]*

*[Using the format below, provide information on each relevant assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under the preliminary terms of reference included in this EOI. The EOI must demonstrate that the consultant has a proven track record of successful experience in executing projects similar in substance, complexity, value, duration, and volume of services sought in this procurement.*

***Maximum 20 pages]***

|  |  |
| --- | --- |
| Assignment name: | Approx. value of the contract (in current ETB$): |
| Country: Location within country: | Duration of assignment (months): |
| Name of client: | Total No. of staff-months of the assignment: |
| Address, and contact details (including email address(es)): | Approx. value of the services provided by your firm under the contract (in current ETB$): |
| Start date (month/year): Completion date (month/year): | No. of professional staff-months provided by associated consultants: |
| Name of associated consultants, if any: | Name of proposed senior professional staff of your firm involved and functions performed (indicate most significant profiles such as project director/coordinator, team leader): |
| Narrative description of project: | |
| Description of actual services provided by your staff within the assignment: | |

Name of Firm: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**ANNEX 1**

**PRELIMINARY TERMS OF REFERENCE**

Consulting Services for Baseline Study



**region**

**Federal Democratic Republic of Ethiopia**

**Participatory Agricultural and Climate Transformation Programme (PACT)**

**BASELINE ASSESSMENT**

**TERM OF REFERENCE (TOR)**

**February 2023**

**Addis Ababa Ethiopia**

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**BACKGROUND AND CONTEXT**

## Context

Agriculture is the country's second-largest economic sector, contributing about 32.8 percent of the country's GDP and employing more than 67 percent of the working population. More than 95 percent of Ethiopia’s agricultural output is generated by subsistence farmers who, on average, own less than 1 hectare (ha) of cultivated land, with poor soil fertility as a result of continuous cropping and little input of nutrients, and little use of mechanization. Subsistence mixed farming, with crop cultivation and livestock husbandry, is dominant on most farms. Heavy reliance on oxen ploughs for tilling the land. The major challenges of the agricultural sector include limited access to improved technologies (inputs, CSA), finance, inadequate infrastructure and investment, weak institutional capacity and poor participation of the private sector. With regard to livestock, Ethiopia is believed to have the largest stock numbers in Africa (Management Entity, 2021), although the contribution of the sub-sector to the country’s economic growth continues to be less significant. Challenges encountered include limited access to improved feeds and improved breeds and breeding techniques and inadequate provision of good livestock extension services. The natural resources base is coming under increasing pressure reflecting demographic growth, inappropriate farming and management practices. Small-scale farmers, who depend on these resources, face serious threats from intensive cultivation, overgrazing and deforestation. There is a widespread problem of soil erosion and soil fertility decline, fuel wood crisis, water scarcity, shortage of pasture resulting in the poverty, food insecurity and natural resources degradation trap.

To alleviate the existing challenges involvement of different partners are critical. Hence with the contribution of different financers s (IFAD, ASAP, Gender Transformative Mechanism/ Bill and Melinda Gates Foundation (BMGF) in the context of Climate Adaptation (GTM/BMGF), IGREENFIN financing; European Commission (EC), Green Climate Fund (GCF) and GoE.) the new programme PACT is introduced. The Programme’s goal is to ‘contribute to poverty reduction and improved resilience of the target rural households.

This programme was approved by IFAD board 2 December 2022, enter into force 27 June 2023 and Currently the programme is organizing the staff and starting workshop.

## Institutional framework

The Ministry of Agriculture is the lead executing agency (but include key agencies and private sector partners in innovative areas of the Programme). MoA is responsible for overseeing the overall implementation of the Programme. The MoA State Minister are responsible for overseeing the overall PACT Programme coordination with support from the lead Executive of Small-Scale Irrigation. The PACT Programme is coordinated following a decentralised government structure. Thus, the Programme’s institutional arrangements for coordination are specified at four levels − Federal, Regional, Woreda and kebele. MoA delegate the role of the lead executing agency to a Federal Programme Coordination Unit (FPCU) that established at each level.

The regional Bureau of Agriculture will be the executing agencies and responsible for planning, formulation, designing of activities as well as monitoring and evaluation. Activities selection, initiation, planning, formulation and identification will be the responsibility of the concerned Zone administration, Woreda administration, and at the grassroots level the kebele administration; and the respective farmers organization (Association). Farmers associations are the ultimate owners and operators of the activities. The associations will receive technical support from the Bureaus of Water/Agriculture/Irrigation for extension.

Federal Steering and Technical Committee

Zone Technical committee

Woreda Steering and Technical Committee

Regional Steering and Technical Committee /Technical committee

KebeleLevel Beneficiaries

Ministry of Agriculture

Regional Bureau of Agriculture

Zone Agriculture Offices

Woreda Agriculture Offices

Kebele Offices

FPMCU

RPMCU

Zonal Focal Person

Woreda Focal Person

Kebele Focal Person/DA

Chart 1 Institutional Framework

# **DESCRIPTION OF THE PROJECT**

## Objectives

The Programme’s goal is ‘to contribute to poverty reduction and improved resilience of the target rural households.’  The Programme Development Objective (PDO) is to ‘enable 150,000 rural households (men, women, youth and persons with Disabilities (PWDs) in selected kebeles to sustainably improve incomes, food and nutrition security and build resilience to shocks. The Programme’s Development Objective will be achieved through the effective implementation of two technical components and a third component that will focus on augmenting the capacity of communities, institutions, and contributing to a conducive policy environment towards Programme implementation. Job creation for young women and men, including persons with disabilities, will be a main focus of all investments in the Programme.

## Project area

The Programme will be implemented in 9 regional states (Amhara, Oromia, Tigray, south Ethiopia, Central Ethiopia, SWE (South West Ethiopia), Sidama, Afar and Somali.) The regions have been selected, based on the following criteria: a) high rural population; b) high poverty prevalence; c) high rate of food and nutrition insecurity; d) presence of economic opportunities relevant to the project; e) impacts of climate change; and f) natural resources degradation.

## Target group

In the selected regions, a total of 90 food insecure woredas have been prioritized based on: a) high poverty prevalence based on inclusion in the Productive Safety Net Program (PSNP) (ii) no overlap with LLRP supported woredas in Somali (17), SNNPR (2) and Oromia (18), b) highly vulnerable (hotspot) based on composite indicators (Food security, poverty, gender inequality, water, education, agriculture) c) the level of degradation of the productive NR base (particularly soil, water and trees) d) avoid overlap with kebeles under PASDIP II and e) Synergies with WFP Fresh Food Voucher Programme in Amhara.

The Programme will benefit approximately 150,000 households, with the targeted participation of women, youth, and PWD. The Programme’s primary target groups will include 50% (75,000) women, 40% (60,000) female and male youth between 18‑34 years (40 percent) and 5% (7,500) PWD who are economically active. The primary target group will include: a) poor households (with land holding less than 2ha of cultivable land with limited livestock,); b) pastoralists c) agro-pastoralists engaged in less diversified traditional livelihood systems; d) PWD in rural areas; and e) rural underemployed and unemployed youths owning no or small land; and f) female headed households.

## Duration of the project

The Programme will be implemented over a seven-year period.

## Theory of Change

Smallholder farmers face the following challenges that limit their production, dietary diversity and market access: a) land degradation; b) limited access to water and inefficient water management; c) inadequate extension services; d) vulnerability to climate change and limited access to improved climate smart technologies (inputs, mechanisation, climate and weather information); e) inadequate access to finance; f) limited social inclusion and malnutrition; g) limited access to remunerative markets and market infrastructure; h) Limited business capacities and inclusivity of Farmers’ Organisations; and i) Weak policy and institutional framework

The Programme’s Theory of Change (ToC) is based on the assumptions that commercialization of small holder farmers and pastoralists in drought prone areas with a depleted natural resource base, begins with inclusive community empowerment. The Programme will develop and facilitate the household and community capacities in the targeted landscapes to develop inclusive, nutrition sensitive, community-led, and climate-smart landscape development and investments plans. Beneficiaries will be supported to access climate smart, inclusive technologies and infrastructure, improve nutrition and natural resource management and to develop businesses for improved production and incomes for men women, youth in the targeted areas. The investments are expected to contribute to: a) Improved resilience to market and environmental shocks, b) create green jobs and entrepreneurship for rural youths and women enhanced, including for those living with a disability; e) economic empowerment, increased voice and participation in decision-making bodies and more equitable workload for women; f) increased access to improved dietary choices and nutritious foods.

## Components and Main Activities

The program has three components and seven subcomponents.

**Component 1:** Community-Led Climate-Smart Productive Landscapes– The Component will support: a) community-led equitable access and sustainable use of natural resources; b) market-led and climate adapted smallholder and pastoral production and productivity enhancement of target crop and livestock commodities; c) development of inclusive and equitable water and local market related infrastructure; and d) improved dietary diversity. The outcome of this component will be sustainable access and utilisation of natural resources and increased agricultural productivity

**Component 2:** Agribusiness Development – Agribusiness Development– The Component will support: a) Market Access Linkage; b) Support to Young Women and Men Agripreneurs. The outcome of this component will be strengthened capacities of farmer and pastoralist organizations to improve access to finance and remunerative markets for small-scale women, men and young farmers and pastoralists, including those living with a disability in the Programme areas.

Component 3: Institutional and Policy Strengthening and Implementation Support Services– This will be a cross-cutting component servicing the technical components and facilitating pathways for the effective and inclusive functioning of the target value chains, from production to consumption. The Component will support: a) Institutional Strengthening; b) Policy support; and c) implementation support services.

## Budget

The total cost of the program is estimated at approximately US$185.559 million over a seven-year implementation period. The PACT program will be financed by the following sources:

a) International Fund for Agricultural Development (IFAD) – A grant of US$77.997 million, with 44.8% allocated to climate finance, along with an additional US$11.553 million in grants through the Adaptation for Smallholder Agriculture Programme (ASAP+). b) Gender Transformative Mechanism/Bill & Melinda Gates Foundation (GTM/BMGF) – A grant of US$4.990 million. c) European Commission (EC) – A grant of US$19.953 million. d) Unidentified Financier – A projected contribution of US$2.011 million. e) IGREENFIN – A proposed loan of US$35.013 million, subject to approval from the Green Climate Fund (GCF). f) Government of Ethiopia – A contribution of approximately US$24.309 million, which includes waived duties, taxes, and direct contributions. g) Private Sector (enterprises, associations, unions, cooperatives) – A contribution of US$3.657 million. h) Beneficiaries – Contributions totaling US$6.076 million, both in-kind and cash.

# **PURPOSE AND OBJECTIVES OF THE CONSULTANCY**

## Purpose

The planned study aims to conduct a baseline survey incorporating both quantitative and qualitative data. It will assess the current conditions in the PACT intervention area, focusing on agricultural production (crops and livestock), employment, nutrition, agricultural marketing, financial services, producer organizations, rural enterprises, climate and watershed conditions, and gender-related issues. These aspects will be included in the questionnaire to analyze and interpret the existing situation on the ground. The findings will then be used to populate the project’s log frame indicators accordingly.

The purpose of this Terms of Reference is to establish a contractual agreement with a successful bidder and select a qualified consultant to carry out the baseline survey.

## Objectives

The primary objective of this study is to conduct a baseline survey on PACT intervention areas. This survey will serve as a benchmark for tracking, monitoring, and evaluating the project's progress and impact over time. It will assess key outcome and impact indicators outlined in the project's log frame, following the IFAD Operational Results Measurement System (ORMS) indicators. This approach will facilitate a rigorous impact assessment, providing a clear understanding of PACT's implementation.

The survey will establish baseline values for project outcomes, impact indicators, and individual or household characteristics at the project's inception. Conducted on a sample of beneficiaries, the baseline survey will collect data on both beneficiaries and comparison groups before the program is implemented. This information is crucial for measuring pre-intervention conditions and setting benchmarks for selected project indicators. Additionally, the analysis of baseline data will help assess the program’s targeting effectiveness, determining whether PACT is reaching its intended beneficiaries.

# **METHODOLOGICAL REQUIREMENTS FOR SURVEY**

The program plans to conduct a baseline survey to gather quantitative data essential for monitoring and evaluating its outcomes. The scope of services outlined in this Terms of Reference includes data collection at the household level, among farmers' organizations, and within rural microfinance institutions. The indicators to be measured will align with the program’s log-frame indicators. Additionally, the study will adopt a quasi-experimental approach to enhance the reliability of the findings.

Furthermore, the Project-level Women’s Empowerment in Agriculture Index (Pro-WEAI) will be developed to assess and track women’s empowerment within the context of agricultural development.

* 1. Questionnaire and variables

The survey questionnaire to be developed by the firm should consider the core outcome indicators (COI) questionnaire already developed by IFAD. The survey should reflect the current state of women's empowerment in the intervention areas. By doing this, the firm should work to adapt these questionnaires to the program indicators. See the details in Appendix 10.1 about the COI template. The outcome-related questions from the template questionnaire should remain the same when relevant to this survey (baseline survey) and should not be rephrased.

* 1. Sample frame

The sample frame is the list of all the units in the desired population, from which random samples of units are selected to build the survey samples. Hence, the firm should understand the nature of the program and the group of beneficiaries to develop the sample frame that is pertinent to the program. The program team will provide a listing of potential beneficiaries to facilitate the drawing of the sample within the eligible population.

## 4.3. *Sampling method*

The treatment and comparison groups are the units selected to be surveyed and they are a subset of the beneficiaries' and non-beneficiaries’ population respectively. The comparison group is mandatory for the impact survey, hence on this survey, we use only the treatment(beneficiaries) group as a unit. The panel data structure is the recommended sample design for COI surveys. The firm should organize and submit the sampling method by considering the program preference methods.

## 4.4. Sample size

The sample size calculation aims at determining how many observations are enough for the analysis. Power calculations have to be carried out in the preparation phase of a survey and allow building a sample to credibly detect a given effect size (size of the impact that you expect to have from a project). The program recommends the use of the World Bank power formula (World Bank. 2007). If the firm selects a different formula should come with justification.

## 4.5. Probability sampling

Probability sampling refers to the sampling method in which all the members of the population have an equal chance to be a part of the sample and it uses random selection to select the sample within the desired population. The firm should use the probability sampling method to give an equal chance for all beneficiaries or units. This program will work on regions that have different geographical locations and cultures. Hence the firm will propose desirable probability methods for this assignment.

## 4.6. Data quality control

As data is collected and entered into a storage mechanism, checking for errors and data quality is an important step and sufficient time should be allocated to review the data and assure its quality.

The following strategies should be used:

* Double data entry.
* Spot checking.
* Sort data to find missing data, outliers, high, or low values.
* Use automation.
* Format a database to accept only numbers.
* Review data for anomalies.
* Discuss data discrepancies and/or findings with implementers.

Data-quality checks can be implemented while collecting the data rather than ex-post as in the case of paper -based surveys by using electronic devices for the data collection. Hence the firm should use data collection electronic tool for instance kobo tool to enable on spot data quality check. The data quality control will be done by the consulting firm and the program staff starting from questionnaire development or adapting to final reporting. The firm and the PCMU jointly will discuss and agree on the quality control system.

## 4.7. Computer entry

The data will be collected using Kobo tools. This helps to remotely control the data entry process at the same time the quality of the data or control common sources of errors (for example to handle skip questions properly, to limit the possible ranges), location of the source, picture of required basic data and to access the data on the computer without any additional effort to enter it to the computer. By using this software, we can reduce the cost of data collection and avoid errors during data entry.

## 4.8. Analysis and report

### 4.8.1. **Analysis**

The analysis method tool is vital to find the intended results. The firm will present the type of analysis expected appropriate for this assignment. The firm also explains how the estimation, interpretation, and presentation of the results should be done.

### 4.8.2. **Report**

In preparing the report, the firm will collaborate closely with the Project Team and other implementing partners to facilitate a learning process and enhance transparency and accountability. Once the surveys have been conducted and quality-controlled, the analysis of the results will be presented in the report. The report will summarize the conclusions drawn from the analysis and include the following elements:

* Description of the Methodology Used
* Questionnaire
* List of Villages/Communities Surveyed
* Results of the Survey
* Updated Log Frame (including at least the CIs at outcome levels)
* Theory of Change (ToC) and its description based on the survey results
* Analysis and Interpretation of Results (including detailed analysis with statistical significance and summarized tables for each indicator)

Additionally, the survey database will be provided to the Project Coordination Management Unit (PCMU). Qualitative data will be presented in a separate chapter.

# **DETAILED LIST OF ACTIVITIES AND DELIVERABLES**

The firm will work in close collaboration with the concerned experts of the implementing agency and under the supervision of the PCMU in the development and supervision of all phases of data collection and following analysis of the dataset.

## 5.1. List of activities

**Phase 1 - Inception phase**

* Review the relevant project documents to familiarize with the project's TOC, logical framework, strategies, activities, and status and determine the appropriate indicators that will meet both project objectives.
* Develop the plan to conduct the baseline study to gather information from the relevant stakeholders at the national, regional, woreda, and kebele levels
* Conduct a listing exercise to identify the baseline data that are important to carry out the project activities covered by PACT at all project levels.
* Development of the survey questionnaire (using the Kobo toolbox) based on the draft provided by the project.
* Development of the sampling design and data analysis tools.
* Develop interview manuals and instructions for field staff.
* Clearance by PCMU of pilot sampling design and the questionnaire.

**Phase 2- Survey instrument development**

* Training of interviewers for the pilot study.
* Pilot study fieldwork. Pilot the survey questionnaire in the field with at least 10 households in at least two communities before the baseline data collection begins to revise and fine tune the survey tools.
* Revision of the pilot study questionnaires.
* Analysis of pilot study results, introducing adjustments to the draft questionnaire.
* Development of the Final Questionnaire.
* Development of an appropriate data management system with adequate quality controls.
* Organize an inception workshop to discuss the findings the survey instrument.
* Clearance of the final questionnaire and sampling design by PCMU.

*The PCMU shall be responsible for providing key background documentation to the firm (PDR, Logframe, ToC, startup reports, preliminary listing of potential beneficiaries, secondary data etc). In particular, existing and previous data to be provided by the project.*

**Phase 3 – Fieldwork Activities**

* Hire staffs and survey enumerators with the necessary skills of local languages/dialects spoken in the study area and train them for at least three or four days to familiarize them with the project details, the relevant information required to be collected, the survey questionnaire to collect data from primary sources and application of kobo Toolbox.
* Administrative approval from necessary authorities.
* Conduct the quantitative and qualitative data collection at the appropriate level of analysis at households, producers’ organization, rural enterprises and community level with geo-referencing locations of the intervention areas.
* Supervise data entry, verification of data quality for analysis and data cleaning should also be performed on a regular basis.
* Grant view permission to PCMU during the course of the data collection process to check the quality of data entry.

**Phase 4 - Data Processing, Analysis and Final Report Preparation**

* Prepare and submit brief baseline survey field report to PCMU after field work.
* Clean the data, analyse and submit the first draft report and raw database to PCMU.
* Submit the final baseline survey report after receiving comments and suggestions from PCMU.
* Prepare a workshop to familiarise the findings to the PCMU and partners.
* Submit the final and refined version of the baseline survey report PCMU.

## 5.2. Deliverables

*The timing and duration for the assignment will* be approximately **Four months** effective from the date of signing of the contract. The timetable for the evaluation, including when different deliverables or products – such as inception report, briefs, draft report, final report is provided in the Table below.

Table Deliverables of Outputs in the Baseline Study

|  |  |
| --- | --- |
| **Output** | **Time (Submitted)** |
| 1. Submit and present Inception report | 20 days after the signing of an agreement |
| 1. Inception workshop | 5 days after inception report submission |
| 1. Field work (Data collection) | 20 days after the approval of the inception report |
| 1. Brief Field Report immediately after data collection | 5 days after data collection |
| 1. Data analysis and submission of first draft report to FPCMU | 50 days after data collection |
| 1. Report and conduct stakeholders’ workshop | 10 days after Submit draft Baseline survey |
| 1. Submit the final and refined version of the baseline study report and verified, cleaned, and quality checked data sets to FPCMU. | within 10 days after the workshop |

# **COMPETENCIES REQUIRED**

# Firm expertise and required qualifications

The selected firm shall possess the following qualifications:

* + - Previous experience in carrying out evaluation surveys is a compulsory requirement, including demonstrated experience in designing and conducting evaluations and organizing surveys on the scale of this project (including survey and sampling design)
    - Strong capacity and experience in planning and organizing survey logistics as evidenced by the logistics complexity of previous surveys executed successfully
    - Good network of experienced enumerators, supervisors, and data entry clerks
    - Strong capacity in data management and statistics and utilization of kobo Toolbox or equivalent as a survey tool as evidenced in the tools and technology used in previous surveys executed successfully
    - Strong capacity in survey analysis and reporting of results
    - Strong interpersonal skills and a team-oriented spirit
    - Experience doing household survey work and carrying out evaluations in Ethiopia.
* Strong background in statistics and econometrics.

# Key staff/ required staff

The proposed team should consist of a reasonable number of qualified and experienced professionals having proven track record in designing and implementing socio-economic and baseline studies and/ or evaluation studies and having good depth of understanding of rural development as well as of and gender and social inclusion. The bidders are expected to propose the key experts (Team leader, Socio-economic Expert, Senior Natural Resource Management Expert & Senior Gender Expert) and non-key experts including an appropriate number of field supervisors, enumerators, and support staff.

Table Suggested List of the Consultancy Team

| No | **Role** | **Specialization** | **Minimum Qualification** | **Experience** |
| --- | --- | --- | --- | --- |
|  | * **Key Experts** | | | |
| 1 | Team Leader/ Study Coordinator team leader | Advanced degree in economics, statistics, agricultural economics or related field. | MA/M.Sc. but Ph.D. preferred | * At least 15 years of relevant experience in conducting baseline/impact evaluations, large quantitative survey implementation and analysis, experience in household and agricultural data collection and analysis required, ability to coordinate teams and ensure timely reporting.   The study coordinator/Team Leader will be in charge of coordinating the planning, and implementation of the studies and ensuring that the work is conducted following the highest professional standards. The individual will be in charge of ensuring that the quality control and supervision mechanism in place for the survey is effective, manage the data collection team, and ensure that each member performs their specific scope of work. |
| 2 | Socio-economic Expert | Advanced degree in economics, agricultural economics or related fields | M.Sc | At least 10 years experience in collecting and analysing survey data using kobo tool box, SPSS or equivalent software. Good command of English and knowledge of the Ethiopian condition is an advantage. |
| 3 | Senior Natural Resource Management Expert | Natural Resource Management, soil & water conservation engineers, Forestry or related fields | M.Sc. | At least 10 years of direct field-level experience in undertaking Natural Resource Management, agroforestry, and managing soil and water conservation interventions. Extensive experience in watershed development of technical support, monitoring and evaluation of implementation progress of physical and biological soil and water conservation interventions. Good command of English and knowledge of the condition is an advantage. |
| 4 | Senior Gender Expert | Gender, Sociology, or related fields | MA/M.Sc | At least 10 years of experience in gender mainstreaming in to development programs is a requirement. Good command of English and knowledge of the Ethiopian condition is an advantage. |
|  | **Non-key experts** | | | |
| 1 | Senior Irrigation Agronomist Expert | Irrigation agronomy or related fields | M.Sc | At least 10 years of experience in irrigation agronomy, agronomic practices, etc. in agriculture and natural resource management is desirable. Good command of English and knowledge of the Ethiopian condition is an advantage. |
| 2 | Senior Irrigation Engineer Expert | Agricultural engineer, irrigation engineer, hydraulic engineer or related fields | M.Sc. | At least 10 years of direct field level experience in undertaking and managing irrigation interventions. Extensive experience in watershed development of technical support, monitoring and evaluation of implementation progress of I am running a few minutes late; my previous meeting is running over. irrigation interventions.  Good command of English and knowledge of the Ethiopian condition is an advantage. |
| 3 | Senior Cooperative Expert | Economics, agricultural economics, development economics, Cooperative marketing or related fields | MA/M.Sc | At least 10 years of experience in basic cooperative and unions, marketing of agricultural products, market structure, channel, value chain and market linkages etc. Good command of English and knowledge of the Ethiopian condition is an advantage. |
| 4 | Livestock Expert | Rangeland management, poultry production, dairy production, and other related fields. | M.Sc | At least 10 years of experience in managing data collection of micro-level household surveys. Good command of English and knowledge of local languages/dialects in Ethiopia. Experience in the region and/or Ethiopia is an advantage. Must be based in-country for the entire duration of the project. |
| 5 | Specialist in data entry and databases | Computer science, statistics and related fields | BA/BSC | * Minimum experience of 5 years with large survey data sets and data entry software required   The data specialist will develop an appropriate data management system with adequate quality controls, and finalize the data management system before the data collection team moves to the field. The data management system would also be organized in such a way as to deliver partial datasets on a regular basis to the Project Team. |
| 6 | survey implementation and field supervision specialist (7 supervisions) | Advanced degree in economics, agricultural economics, or related fields, | MA/M.Sc | * Minimum 5 years’ experience with large survey implementation, experience in implementing household and agricultural surveys required. Preferably with previous experiences in conducting surveys in Ethiopia. |
| 7 | **Survey enumerators** specialist  (30 **enumerators**) |  | B.Sc | * Minimum qualifications include knowledge of local language. Preference should be given to candidates with experience in quantitative data collection in rural Ethiopia, namely, on the themes of the questionnaire. |

# **ADMINISTRATIVE AND OTHER ASPECTS**

# Administrative issues

The firm should present a copy of registration, legal status including VAT registration, TIN and consultant registration certificate from public procurement agency (PPA).

Letter of Commitment by the consulting firm to execute the recruitment of the Field Staff/Enumerators according to the requirement in the TOR (free format).

# Expression of Interest content

Interested consulting companies should submit their applications in response to the Request for Expression of Interest (REOI) consisting of the following documents/information to demonstrate their qualifications: Fill the forms of the REOI and share any requested document therein. This includes the profile of the firm, relevant experience for the assignments, staffing, and evidence of experience of delivering services with similar requirements to the TOR in the form of a copy of a contract, purchase order, or reference letter.

# Proposal Content (the second stage after shortlisting)

**Technical Proposal**: To submit a technical proposal for consideration to undertake the baseline survey, all potential bidders must include all the following documents.

1. The profile of the firm, relevant experience for the assignments, staffing (CV of each team member). Evidence on experience of delivering services with the similar requirements to the TOR in a form of a copy of a contract, purchase order, or reference letter.
2. Proposed methodology to perform the assessment and the size of the sample to be analysed.
3. The work plan and schedule (activities) and resources (including number of person-day).
4. Mobilisation plan for key and non-key experts.

The shortlisted Consultant technical proposal will be evaluated (second stage in response to the RFP) based on technical criteria to feature in the RFP. the following criteria listed in Table 4 below:

Table 3 Selection Criteria

|  |  |
| --- | --- |
| **Criteria** | **Weight** |
| Organizational profile and relevant work experience/track record in relevant fields: The firm has at least 10 years general experience. From this  has conducted minimum 3 data collection surveys (baseline or impact in agricultural extension, rural development) in the past 5 years. | 10% |
| **Team composition (key experts)**: demonstrated expertise, technical skills, previous relevant experience | 50% |
| **Methodology**: Technical plan, approach, strategy, mobilization of resources, non-key experts, and work plan proposed for the baseline survey. | 40% |

**Financial****proposal**: will obligatorily include the consulting fee and operational expenditures related to the assignment. The firm will provide a break-down of the budget by the activities mentioned in the Activities section above. A comprehensive, itemized total budget to carry out the assignment calculated in Ethiopian birr (ETB), inclusive of 15% VAT.

# Selection Process

The consulting company will be selected in accordance with IFAD’s Procurement Handbook. The selection method is QCBS. A minimum of 3 and a maximum of 6 consultants will be shortlisted. The passing score for shortlisting is 70%.

In the second stage, the financial offer will be opened only if the provided technical offer will pass the minimum technical score of **[70]** points.

# Mode of payment

The lump sum fee for this service which will be paid to the consultant:

1. 10% of payment will be effective upon the submission of the approved Inception Report.
2. 50% of payment will be effective upon the submission and approval of the draft of the baseline study report.
3. 40% of payment will be effective upon the submission of the baseline study final report and cleaned, verified, and quality checked datasets to PCMU.

The Consultant is expected to cover any travel expenses and subsistence allowances of the team while engaged in this assignment for the entire duration of the contract.

# **Contact Details**

All queries regarding the details in this TOR must be submitted in writing to the following address:

Ministry of Agriculture, (MoA)

Procurement Executive

Abduselam Ali

Selitude@gmail.com

Block. A – Second Floor

Bole Sub-City, Around Gurd Shola, near to EIAR

Addis Abeba, Ethiopia

MoA reserves the right to amend or cancel all or part of this bid consultancy service at any time.

**Note:** *All information, texts, data and datasets compiled as part of this baseline study will be the joint property of the Government of Ethiopia and IFAD and the Consultant will not use it for any other private or official use, any publication, or claim any right to it. The Baseline Study Report should also follow, the IFAD’s reporting system.*

# **Appendix**

BEGINNING OF SURVEY FOR MEN / HOH

## COI QUESTIONNAIRE TEMPLATE PRO-WEAI+MI MODULES

|  |  |  |
| --- | --- | --- |
| Module | CI OUTCOME *(short name)* | UNIT SURVEYED |
| A Household Roster | Identification and demographics: | Households |
| B Housing And Assets  B1 Housing  B2 Assets | Household characterization | Households |
| C Production And Natural Resources | 1.2.1. Improved access to resources for production purposes | Households |
| C0 Farm Information  C1 Crop | 1.2.2. Adoption of inputs/tech/practices | Households |
| C2 Livestock | 1.2.3. Reduced water shortage | Households |
| C3 Fishery | 1.2.4. Increase in production | Households |
| D Processing And Market Access | 2.2.6. Improved physical access to markets, processing  and storage facilities | Households |
| E Financial Services | 1.2.5. Use of rural financial services | Households |
| F Nutrition  F1 Nutrition Background  F2 Diet Diversity  F3 KAP | * + 1. Women reporting minimum dietary diversity (MDDW)     2. Improved nutrition Knowledge Attitudes and Practices (KAP) | Households |
| G Environmental Sustainability And Climate Resilience | 3.2.2. Adoption of environmentally/climate resilient technologies or practices  3.2.3. Reduction of time spent for water/fuel collection | Households  Households |
| H Participation and Empowerment  H1 Access and use of Services  H2 Group Membership and Influence  H3 Time Allocation  H5 General Self-Efficacy Scale  H6 Attitudes About Domestic Violence | SF.2.1. Satisfaction with project- supported services  SF.2.2. Influence in decision-making of local authorities and project- supported service providers  IE.2.1. Improvement in empowerment | Households |
| [I] Rural Enterprises | 2.2.1. Jobs created | Supported enterprises at HH level |
| 2.2.2. Rural enterprises with increase profit |
|  | 2.2.1. Jobs created |  |
|  |  |  |
| J Producer Organizations | 2.2.3. POs engaged in partnership/agreement/contract | Rural producers´organisations |
|  | 2.2.4. New/improved services from POs |  |
|  | 2.2.5. POs with increased sales |  |
| G2(A): ROLE IN HOUSEHOLD DECISION-MAKING AROUND LIVELIHOOD ACTIVITIES  G6. PHYSICAL MOBILITY  G7: INTRAHOUSEHOLD RELATIONSHIPS  G8(A): AUTONOMY IN DECISION-MAKING  G12(A): ACCESS TO RELIABLE SANITATION |  | MEN |

|  |  |  |  |
| --- | --- | --- | --- |
| [A] HOUSEHOLD ROSTER | | | CI |
| *A household is a group of individuals that eats together and share a common budget. This includes all members that live in the same dwelling, compound or close by. Members of a household don’t need to be related by blood or marriage. If the household is polygamous, more than one spouse may be included if the spouse and associated household members eat together from the same pot and share a common budget. Spouses of the household head that do not eat together and do not share a common budget shouldn’t be included, Members that live elsewhere (ex-students at boarding school, people who have migrated temporarily for work) may still be included if they share the common budget. If a member of the household (excluding students) has been away from the household for*  *more than 6 months he should not be included.* | | | |
| A.1 | Respondent: |  | ALL |
| A.2 | Household head: |  |
| A.3 | List of all individuals part of this  household | *Create HOUSEHOLD MEMBER* *roster* |
| FOR EACH UNIT OF HOUSEHOLD MEMBER ROSTER: ( A:4 TO A.12) | | |  |
| A.4 | Name: |  | ALL |
| A.5 | ID: |  |
| A.6 | Sex: | 1: Male - 2: Female |
| A.7 | Relationship to the HH head: | 1:Head of household - 2:Spouse - 3: Child - 4: Grandchild - 5: Niece/Nephew - 6:  Father/Mother - 7: Sister/Brother -8: Son/Daughter-In-Law – 9: Brother/Sister-In-Law  – 10: Grandfather/Mother – 11: Father/Mother- In-Law – 12: Other Relative – 13: Other Non- Relative – 14: Other, Specify |
| A.8 | Age: Please state years of age | NUMBER |
| A.9 | Marital status: | 1:Monogamous married or non-formal union – 2:Polygamous married or non-formal union – 3:Separated – 4:Divorced – 5:Widow or widower  – 6:Never married |
| A.10 | What is the ethnicity of  HOUSEHOLD MEMBER? | CONTEXTUALIZE list of ethnicity |
| A.11 | What language does  HOUSEHOLD MEMBER mainly speak at home? | CONTEXTUALIZE list of language |
| A.12 | What is the highest educational qualification HOUSEHOLD MEMBER has completed? | CONTEXTUALIZE  1:None – 2:Partial primary – 3:Primary – 4:Partial secondary – 5:Secondary – 6:Partial tertiary – 7: Tertiary – 8:Certificate – 9:Post graduate |

|  |  |  |  |
| --- | --- | --- | --- |
| B HOUSING AND ASSETS | | | |
| This module does not directly relate to any COI but contains relevant information regarding the household characterization. | | | |
| B1 HOUSING | | | CI |
| B.1.1 | What type of dwelling does this household live in? | *Select one :*  1 : Semi-Permanent – 2 :Structure  Temporary Structure – 3 :Permanent Structure  – 999 :Other (Specify) | ALL |
| B.1.2 | Who owns the dwelling? | Select up to 2 from*HOUSEHOLD MEMBER*  *roster* | IE.2.1 |
| B.1.3 | On what basis does the household occupy the dwelling? | *Select one :*  1: Privately Owned – 2:Free Of Rent – 3:Rented – 999:Other (specify) |  |
|  | How many separate rooms |  |  |
| B.1.4 | (excluding kitchen, toilet and  bath room) do the members of | NUMBER |  |
|  | your household occupy? |  |  |
|  |  | *Select one :* |  |
| B.1.5 | What material are the walls of the main dwelling predominantly made of? | 1: Mud And Sticks – 2:Mud Bricks - 3:Zinc/Iron/Tin - 4:Stone/Clay Bricks -  5:Concrete/ Cement Blocks - 6:Wood Or Timber - 7:Poles/Reeds/Bamboo/Grass Or Mat |  |
|  |  | -999:Other (Specify)8:Tarpaulin/Plastic Sheet |  |
|  |  | *Select one :* |  |
| B.1.6 | What material is the roof of the main dwelling predominantly made of? | 1:Concerete/Cement - 2:Roofing Tiles - 3:Asbestos - 4:Iron Sheets, Zinc/Tin -  5:Tarpaulin / Plastic Sheet - 6:Straw, Grass, Bamboo Or Thatch - 7:Papo- 999:Other |  |
|  |  | (Specify) |  |
| B.1.7 | What material is the floor of the main dwelling predominantly made of? | *Select one :*  1:Earth/Mud - 2:Concrete/Cement - 3:Tiles - 4:Wood/Planks - 5:Stone - 999 :Other  (Specify) |  |
| B.1.8 | (CI 1.2.9) KAP:  What kind of toilet facility does your household use? | CONTEXTUALIZE:  SELECT ONE  1: Household latrine/toilet - 2:Communal latrine  – 3:Open defecation – 4:Plastic bag – 5: Bucket Toilet - 6: Bush - 7:Other - 8: Don’t | ALL |
|  |  | know |  |
| B.1.9 | Do you have electricity working | 1: Yes – 0: No |  |
| in your dwelling? |  |
| B.1.10 | What is your main source of lighting? | 1:None - 2:Kerosene/Paraffin - 3:Candle - 4:Palm Oil/(Jacko)Lamp - 5:Chinese Lamp - 6:Wood - 7:Torchlight - 999:Other (Specify) |  |
| B.1.11 | What is the main source of cooking fuel? | 1:Collected Firewood - 2:Purchased Firewood  - 3:Electricity - 4:Kerosene/Oil - 5:Gas - 6:Charcoal - 7:Eco-Stove - 999:Other (Specify) |  |
|  |  | CONTEXTUALIZE |  |
|  |  | SELECT ONE: |  |
|  |  | 1: Public tap/standpipe - 2: |  |
|  |  | Handpumps/boreholes 3: Protected hand-dug |  |
|  | (CI 1.2.9) KAP: | well – 4: Unprotected hand-dug well – 5:Water |  |
| B.1.12 | What is your main source of | seller/kiosks – 6: Piped connection to house |  |
|  | drinking water? | (or neighbour’s house) - 7:Surface water (lake, |  |
|  |  | pond, dam, river) - 8: Protected spring - |  |
|  |  | 9:Unprotected spring - 10: Rain water (safely |  |
|  |  | harvested) - 11: Bottled water/water sachets - |  |
|  |  | 12: Tanker truck – 13:Other – 14: I don´t know |  |

|  |  |  |  |
| --- | --- | --- | --- |
| B2 ASSETS | | | CI |
| FOR EACH ITEM OF [ASSETS ROSTER (SEE BELOW): | | |  |
| B.2.1 | Does your household currently  own the following items: | 1: Yes – 0: No | ALL |
| B.2.2 | Please state the number of  [Item this household currently owns ? | NUMBER |
| FOR MAIN AND LARGE ASSET ITEMS ONLY: | | |  |
| B.2.3 | Do you [NAME] own any [ITEM]? | 1: YES, SOLELY  2: YES, JOINTLY  3: YES, SOLELY AND JOINTLY  4: NO |  |
| B.2.4 | Who makes the decisions about purchase and use? | Select 2 from *HOUSEHOLD MEMBER*  *roster* | IE.2.1 |
| [ASSETS ROSTER: CONTEXTUALIZE AND INCLUDE ONLY RELEVANT ASSETS (Max 10) BASED ON COUNTRY AND PROJECT´S CHARACTERISTICS . | | | |
| Household Assets: | | Productive assets: | |
| Table | | Hand hoe | |
| Chair (not upholstered) | | Slasher | |
| Upholstered chair, sofa | | Axe | |
| Coffee table | | Saw | |
| Cupboard, drawers, bureau, side table,  bookcase | | Sprayer | |
| Bed | | Panga knife / machete | |
| Fan | | Sickle | |
| Lantern (paraffin) | | Treadle pump | |
| Clock | | Watering can | |
| Sewing machine | | Hilaire/daba | |
| Iron (for clothes) | | Hand cart/wheelbarrow | |
| Refrigerator / freezer | | Ox cart | |
| Charcoal stove | | Ox plough | |
| Kerosene/paraffin stove | | Tractor | |
| Electric/gas stove | | Tractor plough | |
| Radio | | Motorised pump | |
| Tape/CD/audio player | | Mechanical dryer | |
| Television / VCR / DVD player | | Solar dryer | |
| Satellite dish | | Grain mill | |
| Solar panel | | Seeder | |
| Generator | | Poultry house | |
| Smart phone | | Livestock enclosure | |
| Mobile phone | | Storage house | |
| Computer equipment and accessories | | Granary | |
| Jewelry / watches | | Livestock barn | |
| Bicycle | | Pig sty | |
| Motorcycle/scooter | |  | |
| Motor vehicle | |  | |
| Boat | |  | |

|  |  |  |  |
| --- | --- | --- | --- |
| MODULE [C]: NATURAL RESOURCES AND PRODUCTION | | | |
| [C0] FARM INFORMATION | | | CI |
| C.0.1 | Do you own or have access to any  land for agricultural or livestock rearing purposes? | 1: Yes – 0: No | 1.2.1  1.2.2  1.2.3  1.2.4  IE.2.1 |
| C.0.2 | Please sketch of the house and the farms that the household has access to, please include all farm plot (new,  old, homegarden, pasture,etc.) |  |
| C.0.3 | List of all plots: | *Create PLOT*  *roster* |
| FOR EACH UNIT OF PLOT ROSTER: ( C.0.4 TO C.0.7) | | | |
| C.0.4 | What is the area of the PLOT? | CONTEXTUALIZE UNIT  Define unit for each plot (Hectare/Square meter / Acre/ Other local units) in each context and provide conversion table | 1.2.1  1.2.4 |
| C.0.5 | How did your household acquire/get access to the PLOT? | 1: Inherited – 2: Purchased or leased – 3: Allocated by government – 4: Allocated by local leader - 5: Rented in – 6:Share-  cropped – 7: Borrowed for free – 8: Cleared and occupied – 999: other, specify | 1.2.1 |
| C.0.6 | What is cultivated/present on this  PLOT? | CONTEXTUALIZE  LIST OF CROP and PASTURE  *Create CROP*  *roster with crop relevant to the project* | 1.2.4 |
| C.0.7 | Who owns the plot? | Select 2 from *HOUSEHOLD MEMBER* *roster*  Or 999: Other | IE.2.1 |
| C.0.8 | Did you or anyone in the HH participated in [activities aiming at improving access to land, forests, water or water bodies for production  purposes from IFAD funded project: define according to project]? | 1: Yes – 0: No | 1.2.1 |
| [C1] CROP | | | CI |
| C.1.1 | Did you or anyone in the HH receive [production inputs and/or technological packages from IFAD funded project: define according to  project]? | 1: Yes – 0: No | 1.2.2 |
| C.1.2 | Did you or anyone in the HH participate in [training on production practises and/or technologies from IFAD funded project: define  according to project]? | 1: Yes – 0: No | 1.2.2  1.2.4 |
| FOR EACH UNIT OF CROP ROSTER relevant to the project: ( C.1.3 TO C.1.23) | | | |
| C.1.5 | Was the plot on which [CROP] was planted irrigated during [reference  period]? | 1: Yes – 0: No  *If No, skip questions C.1.6 to C.1.9* | 1.2.3 |
| C.1.6 | What was the primary source of water for irrigation for [CROP] during  [reference period]? | 1: Borehole – 2: Well – 3: River/stream – 4:Pond/lake – 999: Other specify | 1.2.3 |

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| C.1.7 | Was the timing of water from the irrigation system adequate for  production of [CROP] during [reference period]? | 1: Yes – 0: No | 1.2.1  1.2.3 |
| C.1.8 | Was the amount of water from the irrigation system adequate for  production of [CROP] during [reference period]? | 1: Yes – 0: No | 1.2.1  1.2.3 |
| C.1.9 | What was the quality of the water  from the irrigation system for [PLOT] during [reference period]? | 1: Very Good – 2: Good - 3: Bad – 4: Very Bad | 1.2.1  1.2.3 |
| C.1.10 | Have you ever heard about the following [production practises/ inputs /technologies define according to project]? | CONTEXTUALIZE: List of production practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved seeds / Organic fertilizer /.Etc | 1.2.2 |
| C.1.11 | Did you use/implement any of the following [production practises/ inputs /technologies define  according to project] during [reference period]? | CONTEXTUALIZE: List of production practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved seeds / Organic fertilizer /.Etc | 1.2.2 |
| C.1.12 | Do you think [production practises/ inputs /technologies: define according to project] is useful or effective? | CONTEXTUALIZE: List of production practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved seeds / Organic fertilizer /.Etc | 1.2.2 |
| C.1.13 | Have you adopted and will you continue to use [production practises/ inputs /technologies: define according to project] ? | CONTEXTUALIZE: List of production practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved seeds / Organic fertilizer /.Etc | 1.2.2 |
| C.1.14 | What quantity of [CROP] was harvested during [reference period]? | CONTEXTUALIZE  Define unit for each crop in each context and provide conversion table | 1.2.4 |
| C.1.16 | Did you store any of the [CROP] harvested during [reference period]? | 1: Yes – 0: No  *If No, skip questions C.1.17 to C.1.21* | 2.2.6 |
| C.1.17 | What quantity of [CROP] harvested was stored during [reference period]? | CONTEXTUALIZE  Define unit for each crop in each context and provide conversion table | 2.2.6 |
| C.1.18 | What is your main storage facility for this [CROP] ? | SELECT 1: main storage facility  1: Unprotected pile - 2: Metallic Silo - 3: Heaped in house - 4: Bags in house - 5: Traditional Granary - 6: Improved Granary - 999: OTHER, SPECIFY | 2.2.6 |
| For main storage facility selected in C.1.18: C.1.19 to C.1.21 | | | |
| C.1.19 | Is the main storage facility functional? | 1:Very functional – 2: Somewhat functional –  3:Somewhat unfunctional – 4: Very unfunctional | 2.2.6 |
| C.1.20 | Who owns the main storage facility? | 1: Household - 2: Community/village -  3. My producer organisation - 4: Provided by project X - 5: Other, specify | 2.2.6 |
| C.1.21 | What is the distance between your home and the main storage  facility? | In minutes/hours (TIME) | 2.2.6 |

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| [C2] LIVESTOCK | | | |
| C.2.0 | Do your household own any  livestock? | 1: Yes – 0: No  *If No, skip module [C2] LIVESTOCK* | 1.2.2  1.2.3  1.2.4  IE.2.1 |
| C.2.1 | During [reference period], have your or any member of your household  raised or owned any of the following livestock? | CONTEXTUALIZE: LIST OF LIVESTOCK  *Create LIVESTOCK*  *roster with livestock relevant to Project* |
| C.2.2 | Did you or anyone in the HH received [livestock inputs and/or technological packages from IFAD funded project: define according to  project] | 1: Yes – 0: No | 1.2.2 |
| C.2.3 | Did you or anyone in the HH participated in [training on livestock rearing practises and/or technologies  from IFAD funded project: define according to project] | 1: Yes – 0: No | 1.2.2  1.2.4 |
| C.2.4 | What was the primary source of  water for livestock? | 1: Borehole – 2: Well – 3: River/stream –  4:Pond/lake – 999: Other specify | 1.2.3 |
| C.2.5 | Was the amount of water adequate for livestock rearing during  [reference period] ? | 1: Yes – 0: No | 1.2.3 |
| C.2.6 | What was the quality of the water for  livestock watering during [reference period]? | 1: Very Good – 2: Good - 3: Bad – 4: Very Bad | 1.2.3 |
| FOR EACH UNIT OF LIVESTOCK ROSTER relevant to the project: ( C.2.7 TO C.2.24) | | | |
| C.2.7 | Who owns the LIVESTOCK? | Select 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| C.2.12 | Have you ever heard about the following [livestock rearing practises/ inputs /technologies define according to project] | CONTEXTUALIZE: List of livestock practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved breed / Vaccination /.Etc | 1.2.2 |
| C.2.13 | Did you use/implement any of the following [livestock rearing inputs  /technologies define according to project] during [reference period]? | CONTEXTUALIZE: List of livestock practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved breed / Vaccination /.Etc | 1.2.2 |
| C.2.14 | Are you satisfied with [livestock rearing practises/ inputs  /technologies: define according to project] | CONTEXTUALIZE: List of livestock practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved breed / Vaccination /.Etc | 1.2.2 |

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| C.2.15 | Have you adopted and will you continue to use [livestock rearing practises/ inputs /technologies define according to project]? | CONTEXTUALIZE: List of livestock practises/ inputs /technologies provided by the project:  SELECT ALL THAT APPLY  Improved breed / Vaccination /.Etc | 1.2.2 |
| C.2.16 | How many [LIVESTOCK] are owned  by your household now? | NUMBER | 1.2.4 |
| *Questions C.2.17 to C.2.24 might be adapted according to the type of livestock (small or large livestock) and the type of production (meat, milk, egg, etc.).*  *The following questions are more adapted to large livestock for meat production purposes.* | | | |
| *C.2.17* | *During* [reference period]*, how many*  *[LIVESTOCK] were born?* | *NUMBER* | *1.2.4* |
| *C.2.18* | *During* [reference period]*, how many [LIVESTOCK] did you receive to*  *raise?* | *NUMBER* | *1.2.4* |
| *C.2.19* | *During* [reference period]*, how many*  *[LIVESTOCK] did you buy to raise?* | *NUMBER* | *1.2.4* |
| *C.2.20* | *During* [reference period]*, how many [LIVESTOCK] were given away as gift or payment for some service*  *provided?* | *NUMBER* | *1.2.4* |
| *C.2.21* | *During* [reference period], *how many [LIVESTOCK] were lost to theft,*  *injury, accident, natural disaster, or disease?* | *NUMBER* | *1.2.4* |
| *C.2.22* | *During* [reference period]*, how many*  *[LIVESTOCK] did you sell alive?* | *NUMBER* | *1.2.4* |
| *C.2.23* | *During* [reference period]*, how many [LIVESTOCK] did you slaughter for*  *sales?* | *NUMBER* | *1.2.4* |
| *C.2.24* | *During* [reference period]*, how many*  *[LIVESTOCK] did you slaughter for consumption?* | *NUMBER* | *1.2.4* |
| [C3] FISHERY | | | CI |
| C.3.0 | During [reference period] did you or anybody in your household engage  in fishing activities? | 1: Yes – 0: No  *If No, skip module[C3] FISHERY* | 1.2.1  1.2.2  1.2.4 |
| C.3.1 | Did you or anyone in the HH receive [fishery inputs and/or technological packages from IFAD: define  according to project] | 1: Yes – 0: No | 1.2.2 |
| C.3.2 | Did you or anyone in the HH participated to [ training on fishery practises and/or technologies from  IFAD: define according to project] | 1: Yes – 0: No | 1.2.2  1.2.4 |
| C.3.3 | Who practices fishing activities? | Select from HOUSEHOLD MEMBER roster | IE.2.1 |
| C.3.4 | Who generally makes decisions about fishing? | Select up to 2 from HOUSEHOLD  MEMBER roster | IE.2.1 |
| C.3.5 | Who generally makes decisions about fishing production: self-  consumption or sale? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| C.3.6 | Who in your household decides what to do with earnings from fishing? | Select up to 2 from HOUSEHOLD  MEMBER roster | IE.2.1 |
| C.3.7 | During [reference period], where did most of your fishing activities take  place? | 1: Pond – 2: Lake – 3: River – 4:Sea | 1.2.1 |
| C.3.8 | Do you have a water body/fishing  pond on your farm? | 1: Yes – 0: No | 1.2.1 |

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| C.3.9 | What is the distance between your  home and this water body? | In hours/minutes (TIME) | 1.2.1 |
| C.3.10 | Do you have a fishing permit? | 1: Yes – 0: No | 1.2.1 |
| C.3.11 | What type of fishing gear did you use during [reference period]? | SELECT ALL THAT APPLY  1: Gill nets – 2: Trap nets / fyke nets – 3: Hand line. – 4: Seines - 5: 220-volt pulse DC (or 3-phase AC) electrofishing equipment  – 999:Other, specify | 1.2.2 |
| C.3.12 | Have you ever heard about the following [fishing practises/ inputs  /technologies: define according to project]? | CONTEXTUALIZE: List of fishing practises/ inputs /technologies provided by the project: SELECT ALL THAT APPLY  New improved or motorized fishing gear / New/innovative fishing techniques / Etc | 1.2.2 |
| C.3.13 | Did you use/implement any of the following [fishing practises/ inputs  /technologies: define according to project] during [reference period]? | CONTEXTUALIZE: List of fishing practises/ inputs /technologies provided by the project: SELECT ALL THAT APPLY  New improved or motorized fishing gear / New/innovative fishing techniques / Etc | 1.2.2 |
| C.3.14 | Are you satisfied with [fishing practises/ inputs /technologies: define according to project]? | CONTEXTUALIZE: List of fishing practises/ inputs /technologies provided by the project: SELECT ALL THAT APPLY  New improved or motorized fishing gear / New/innovative fishing techniques / Etc | 1.2.2 |
| C.3.15 | Have you adopted and will you continue to use [fishing practises/ inputs /technologies: define according to project]? | CONTEXTUALIZE: List of fishing practises/ inputs /technologies provided by the project: SELECT ALL THAT APPLY  New improved or motorized fishing gear / New/innovative fishing techniques / Etc | 1.2.2 |
| C.3.16 | What are the main fish species that your household caught during the last high season? | CONTEXTUALIZE  Fish list  *Create FISH*  *roster with main fish species relevant to Project* | 1.2.4 |
| FOR EACH UNIT OF FISH ROSTER relevant to the project: ( C.3.17 TO C.3.19) | | | |
| C.3.17 | How much [FISH] did you catch during [reference period]? | CONTEXTUALIZE  Define unit for each fish in each context and provide conversion table | 1.2.4 |
| C.3.18 | How much [FISH] did you sell during [reference period]? | CONTEXTUALIZE  Define unit for each fish in each context and provide conversion table | 1.2.4 |
| C.3.19 | During [reference period], what share of the total [FISH] catch did  you keep for your own family consumption? | CONTEXTUALIZE  Define unit for each fish in each context and provide conversion table | 1.2.4 |

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| MODULE D PROCESSING AND MARKET ACCESS | | | |
| D.1 | Do you process part of your production  at a processing facility? | 1: Yes – 0: No  If No, skip D. 2 to D.4 | 2.2.6 |
| D.2 | What type of processing facility is it? | CONTEXTUALIZE list of processing facilities.  Select 1 Home Cooperatives Agro-industry | 2.2.6 |
| For processing facility selected in D.2: questions D.3 to D.4 | | | |
| D.3 | Is the processing facility functional? | 1:Very functional – 2: Somewhat  functional – 3:Somewhat unfunctional – 4: Very unfunctional | 2.2.6 |
| D.4 | What is the distance to the processing facility? | In minutes/hours (TIME) | 2.2.6 |
| D.5 | To whom you sell to most of the production? | 1: Sales to private individuals – 2:Traders/middlemen – 3: Cooperatives  – 4.(Agro)Industry:– 5: Other producers- 999:other | 2.2.6 |
| D.6 | Do you sell part of your production at a  market? | 1: Yes – 0: No  If No skip D.7 to D.11 | 2.2.6 |
| D.7 | What type of market is this market? | 1: Daily - 2:Weekly - 3: Bi-weekly - 4:  Monthly - 999: Other, specify | 2.2.6 |
| D.8 | Is the market functional? | 1:Very functional – 2: Somewhat  functional – 3:Somewhat unfunctional – 4: Very unfunctional | 2.2.6 |
| D.9 | What is the distance to the market  facility you use? | In minutes/hours (TIME) | 2.2.6 |

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| MODULE E FINANCIAL SERVICES | | | |
| E.0 | Has any member of the Household participated in any  financial literacy training programs from the project ? | 1: Yes – 0: No  If No, Skip questions E.1 to E.11 | 1.2.5 |
| E.1 | Are any of these financial product or service available in the community? | CONTEXTUALIZE: list of financial product or service specifically promoted/supported by the project and its partner financial service provider  Select all that apply:  Savings / Credit / Insurance / Remittances | 1.2.5  IE.2.1 |
|  | Would you or anyone in your household be able to take a loan or borrow cash/in-kind from [SOURCE] if you wanted to?   1. Non-governmental organization (NGO) 2. Formal lender (bank/financial institution) 3. Informal lender 4. Friends or relatives 5. Group based micro-finance or lending including VSLAs / SACCOs 6. Informal credit / savings groups (e.g., merry-go-rounds, tontines, funeral societies, etc.) 7. Other (specify) | YES...…….1  NO………..2 🡪 ***NEXT SOURCE***  MAYBE.….3 |  |
| E.2 | Did you or any member of the household use any of this financial product or service during the *[reference period]* ? | CONTEXTUALIZE: list of financial product or service specifically promoted/supported by the project and its partner financial service provider  SELECT ALL THAT APPLY:  Savings / Credit / Insurance / Remittances *Create FINANCIAL SERVICES* *roster with financial product or service selected in E.13*  *If No,* Skip questions E.1 to E.11 | 1.2.5  IE.2.1 |
| FOR EACH UNIT OF FINANCIAL SERVICES ROSTER: (E.4 TO E.11) | | | |
| E.3 | Who in the household decided to apply for the [FINANCIAL  SERVICE] during the *[reference period]*? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| E.4 | Who in the household did use [FINANCIAL SERVICE] during  the *[reference period]* ? | Select 1 from HOUSEHOLD MEMBER roster | 1.2.5  IE.2.1 |
| E.5 | What is the source of [FINANCIAL SERVICE]? | CONTEXTUALIZE  *SELECT ONE*  *1:Bank/financial institution* 2:Microfinance institution 3:Informal 4:Friends/relatives  *5:Self-help group, group based micro-finance or lending including VSLAs /SACCOs/merry- go-rounds*  6:Project Specific 7:Other | 1.2.5  IE.2.1 |
| E.6 | How was the [FINANCIAL SERVICE] used? | SELECT ALL THAT APPLY *:*  1: Purchase inputs - 2: Invest in business – 3: Productive assets- 4:Daily consumption -5.: Education -6. Health expenses – 7:House and property – 8:Repayment - 999: Other, specify | 1.2.5 |
| E.7 | Who made the decision about what to do with the money or item from this [FINANCIAL  SERVICE]? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| E.8 | Who is responsible for repaying the money or item borrowed from this [FINANCIAL SERVICE]? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| E.9 | In general, how satisfied are you with the services provided by  [FINANCIAL SERVICE]? | 1:Very satisfied – 2: Somewhat satisfied – 3:Somewhat Dissatisfied – 4 very dissatisfied | 1.2.5 |
| E.10 | Do you still use [FINANCIAL SERVICE] | 1: Yes – 0: No | 1.2.5  IE.2.1 |

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| MODULE F NUTRITION | | | |
| F1 NUTRITION BACKGROUND | | | CI |
| F.1.0 | Has any member of the Household participated in any project-supported  activity designed to help improve nutrition? | 1: Yes – 0: No  *If No, skip Module F1* | 1.2.8  1.2.9 |
| F.1.1 | Who participated in any project-  supported activity designed to help improve nutrition? | Choose within list of HH members | 1.2.8  1.2.9 |
| F2 DIET DIVERSITY | | | |

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| F3 KAP | | | |
| This survey module is conducted at household level but should be applied to beneficiaries who participated in any project-supported activity designed to help improve nutrition (Questions F.1.0 and F.1.1).  Only questions related to KAP components selected according to the project´s characteristics should be asked: skip questions related to other KAP components. | | | |
| A. Water and hygiene | | | |
| *B.1.12* | *QUESTION B.1.12 ALREADY IN MODULE [B1]: ASSETS, NO NEED TO ASK AGAIN*  *What is the main source of water used by your household for drinking?* | *SELECT ONE: CONTEXTUALIZE*  *1: Public tap/standpipe - 2: Handpumps/boreholes - 3: Protected hand-dug well – 4: Unprotected hand- dug well - 5: Water seller/kiosks - 6: Piped connection to house (or neighbour’s house) - 7: Surface water (lake, pond, dam, river) - 8: Protected spring - 9: Unprotected spring - 10: Rain water collection*  *11: Bottled water, water sachets - 12: Tanker truck - 13 Other– 14: I Don´t know* | 1.2.9.A |
| F.3.1 | How often do you clean drinking water containers? | SELECT ONE:  1: Every time we use them - 2: At least once a week - 3: At least once a month - 4: At least once a year - 5: I Don’t know  - 6: Never | 1.2.9.A |
| F.3.2 | Do you drink water directly from commonly used unsafe sources (e.g. river, canal, etc.)?  *For example, you may have drank water from the river or canal (or any source of surface water) when you were away from your home.* | 1: Yes – 2: No – 3: Sometimes | 1.2.9.A |
| F.3.3 | How likely do you think it is that your child will become sick, such as having stomach ache or diarrhoea,  from you not washing your hands? | 1: Not likely – 2: Likely– 3: I don´t know | 1.2.9.A |
| F.3.4 | Do you have (or do you collect) enough water supply to meet all your daily households’ needs, such as bathing, cooking, cleaning the house,  etc. (not for animal use, brickmaking, agriculture, gardening, etc.?) | 1: Yes, always - 2: Yes, almost – 3: Only sometimes – 4: Not at all - 5: I Don’t know | 1.2.9.A |

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| F.3.5 | How good do you think it is to wash  your hands before feeding a child/eating? | 1: Not good- 2: Good – 3: I don’t know | 1.2.9.A |
| B. Sanitation and hygiene | | | |
| *B.1.8* | *QUESTION B.1.8 ALREADY IN MODULE [B1]: ASSETS, NO NEED TO ASK AGAIN*  *What kind of toilet facility does your household usually use?*  *(A latrine used by more than one household is considered communal*  *or shared)* | *SELECT ONE*  CONTEXTUALIZE:  1: Household latrine/toilet - 2:Communal latrine – 3:Open defecation – 4:Plastic bag – 5: Bucket Toilet - 6: Bush - 7:Other - 8: I Don’t know | 1.2.9.B |
| F.3.6 | Is there a handwashing station at the  latrine/toilet? | 1: Yes - 2: No – 3: I Don’t know | 1.2.9.B |
| F.3.7 | Is soap present at the hand washing  station? | 1: Yes - 2: No – 3: I Don’t know | 1.2.9.B |
| F.3.8 | Where does your household dispose of domestic waste?  *Domestic waste include organic, recyclable AND non-recyclable waste; e.g. kitchen waste, paper/cardboard, plastic/rubber, ash waste, etc.* | SELECT ONE CONTEXTUALIZE:  1: Household pit - 2: Communal pit 3: Street bin/container for garbage  collection - 4: Designated open area - 5: Undesignated open area - 6: Bury it - 7: Burn it - 8: Other | 1.2.9.B |
| F.3.9 | How good do you think it is to remove faeces from the home and surroundings  *(This refers to human and animal*  *faeces)* | 1: Good – 2: Not good – 3: I Don’t know | 1.2.9.B |
| C. Food safety, hygiene and preparation | | | |
| F.3.10 | Do you wash your hands  immediately before handling food? | 1: Never – 2: Rarely – 3: Sometimes –  4: Most of the Times – 5: Always | 1.2.9.C |
| F.3.11 | Do you check the expiration date of  ingredients before using them in food preparation? | 1: Never – 2: Rarely – 3: Sometimes – 4: Most of the Times – 5: Always | 1.2.9.C |
| F.3.12 | Do you use food after the expiration  date if it has no visible change in quality aspect? | 1: Never – 2: Sometimes – 3: Always | 1.2.9.C |
| F.3.13 | Well-cooked food is free from microbes that cause foodborne  diseases. | 1: True – 2: False – 3: I Don´t Know | 1.2.9.C |
| F.3.14 | Washing fruit and vegetables under running water and peeling them is  enough to make these foods safe for consumption. | 1: True – 2: False – 3: I Don´t Know | 1.2.9.C |
| F.3.15 | Are you or a family member used to eat leftovers that are not properly stored?  *For example, some foods might require to be placed into a climate controlled area, other foods need to*  *be covered and protected from flies if stored on a shelter, etc.* | 1: Never – 2: Sometimes – 3: Always | 1.2.9.C |
| F.3.16 | Food that is unfit for consumption  always presents colour, taste and/or smell changes | 1: True – 2: False – 3: I Don´t Know | 1.2.9.C |
| F.3.17 | Do you cover your food and protect it  from flies? | 1: Yes – 2: No – 3: I Don´t Know | 1.2.9.C |

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| F.3.18 | How good do you think it is to keep meat, poultry, fish, seafood or  cooked food covered or in a cool place? | 1: Not good - 2. Good– 3: I Don´t Know | 1.2.9.C |
| D. Intake of micronutrients | | | |
| F.3.19 | How can iodine deficiency be  prevented? | 1: Eat/prepare foods with iodized salt –  2: Other – 3: I Don’t know | 1.2.9.D |
| F.3.20 | Did you use salt to cook the main meal eaten by members of your  family last night? | 1: Yes – 2: No – 3: I Don’t know | 1.2.9.D |
| F.3.21 | What kind of salt did you use? | SELECT ONE:  1: Iodized – 2: Not iodized – 3: No salt at home – 4: I Don’t know | 1.2.9.D |
| F.3.22 | Have you heard about iron deficiency  anaemia? | 1: Yes - 2: No – 3: I Don’t know | 1.2.9.D |
| F.3.23 | What causes anaemia? | SELECT ALL THAT APPLY  1: Lack of iron in the diet and/or insufficient intake of quantities 2: Sickness/infection (malaria,  hookworm infection, other infection such as HIV/AIDS)  3: Heavy bleeding during menstruation 4: I Don’t know | 1.2.9.D |
| F.3.24 | How serious/dangerous do you think  it is to lack vitamin A in your body? | 1: Serious – 2: Not serious - 3: I don´t  know | 1.2.9.D |
| F.3.25 | Who are the highest at-  risk households members for iron- deficiency anaemia? | SELECT ALL THAT APPLY  1: Women of childbearing years 2: young boys  3: Male adults  4: children under five 5: Elderly people  6: Young girls | 1.2.9.D |
| F.3.26 | Yesterday, during the day or night did you eat vitamin A rich foods such as  *[Examples of locally available*  */commonly consumed vitamin A rich foods]?* | 1: Yes - 2: No – 3: I Don’t know | 1.2.9.D |
| F.3.27 | How often do you commonly  consume meat/fish? | 1: At least twice per week - 2: Once per  week – 3: Once per month | 1.2.9.D |
| F.3.28 | How likely do you think a pregnant  woman is to suffer from anaemia? | 1: Not likely - 2. Likely – 3: I Don’t know | 1.2.9.D |
| E. Feeding practices/Complementary feeding | | | |
| For the presence of baby and information, check household members list. | | | |
|  | For Mothers with children up to 6 months old | |  |

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| F.3.29 | Yesterday during the day or at night, Did (name of the baby) have any of the following liquids? | SELECT ALL THAT APPLY:  1: Plain water  2: Breastmilk  3: Infant formula  4: Milk, such as tinned, powdered or fresh animal milk  5: Juice or juice drinks 6: Clear broth  7: Yogurt  8: Thin porridge  9: Any other liquids such as (list other water-based liquids available in the local setting)  10: Any other liquids | 1.2.9.E |
| F.3.30 | Until what age is it recommended that a mother feeds nothing more  than breastmilk? | 1: From birth to six months-2: Other- 3: I Don’t know | 1.2.9.E |
| F.3.31 | How good do you think it is to  breastfeed your baby exclusively for six months? | 1: Not good – 2: Good - 3: I Don’t know | 1.2.9.E |
| F.3.32 | What are the benefits for a baby if he/she receives only breastmilk during the first six months of life? | SELECT ALL THAT APPLY  1:He/she grows healthily 2:Protection from diarrhea and other infections  3:Protection against obesity and chronic diseases in adulthood  4:Protection against other diseases 5:Other  6:I Don’t know | 1.2.9.E |
|  | For Mothers with children above 6 months old | |  |
| F.3.33 | At what age should babies start eating foods in addition to breastmilk? | SELECT one: 1:At six months 2:Other  3: I Don’t know | 1.2.9.E |
| F.3.34 | Why is it important to start giving food in addition to breastmilk to babies from the age of six months? | SELECT ALL THAT APPLY:  1. Breastmilk alone is not enough to supply all the nutrients needed for the baby’s growth from six months  2: At six months, the baby is developmentally ready for other foods  3. I Don’t know | 1.2.9.E |
| F.3.35 | Yesterday during the day or at night, did (name of the baby) eat:  *SELECT ALL THAT APPLY whether*  *food item was consumed either alone or combined with other foods.*  *For example, if the baby ate a millet porridge made with a mixed vegetable sauce, select any food that was an ingredient in the porridge and sauce.*  *Please do not include any food used in a small amount for seasoning or condiments (like chillies, spices, herbs or fish powder)* | SELECT ALL THAT APPLY whether  food item was consumed either alone or combined with other foods.  CONTEXUALIZE: *Provide examples of common local foods for each group.*  Group 1: Grains, roots and tubers: Porridge, bread, rice, noodles or other foods made from grains, *White potatoes, white yams, manioc, cassava or any other foods made from roots)*  Group 2: Legumes and nuts: Any foods made from beans, peas, lentils, nuts or seeds  Group 3: Dairy products, Infant formula, such as [local examples], Cheese or other dairy products  Group 4:Flesh foods/Liver, kidney, heart or other organ meats | 1.2.9.E |

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|  |  | Any meat, such as beef, pork, lamb, goat, chicken or duck  Fresh or dried fish, shellfish or seafood- crabs, snails or insects  Group 5: Eggs  Group 6: Vitamin A fruits and vegetables: Pumpkin, carrots, squash or sweet potatoes that are yellow or orange inside  Any dark green vegetables [insert local examples]  Ripe mangoes (fresh or dried [not green]), ripe papayas (fresh or dried), musk melon [insert other local vitamin- A-rich fruits]  Foods made with red palm oil, red palm nut or red palm nut pulp sauce  Group 7: Other fruits and vegetables Any other fruits or vegetables |  |
| F.3.36 | How confident do you feel in  preparing food for your child? | 1: Confident - 2: Not confident – 3: I  Don’t know | 1.2.9.E |
| F.3.37 | How difficult is it for you to feed your  child several times each day? | 1: Not difficult – 2: Difficult – 3: I Don’t  know | 1.2.9.E |
| F. Food cultural practices | | | |
| F.3.38 | Do you discuss with other family members and share decisions on  healthy diets and good nutrition? | 1: Yes - 2: No | 1.2.9.F |
| F.3.39 | Which among the following foods do you consider to be for the poor people?  (Certain nutritious foods are associated with a lower social class. The aim is to ensure that the poor rural farmers maintain a healthy diet even with increased incomes.) | SELECT ALL THAT APPLY CONTEXTUALIZE:  1: Nutritious Traditional/wild vegetables (Add local examples)  2: Processed foods/sweet beverage (Add local examples)  3: Traditional grains/tubers (e.g. millets- Add local examples)  4: None  5: I don’t know | 1.2.9.F |
| F.3.40 | Which types of foods do you believe should not be consumed by pregnant women? | SELECT ALL THAT APPLY CONTEXTUALIZE:  1: Vegetables (Add examples)  2: Protein rich foods (Add examples) 3: Fruits (Add examples)  4: Should consume all 5: I don’t know | 1.2.9.F |
| F.3.41 | Which types of foods do you believe should not be consumed by lactating mothers? | SELECT ALL THAT APPLY CONTEXTUALIZE:  1: Vegetables (Add examples)  2: Protein rich foods (Add examples) 3: Fruits (Add examples)  4: Should consume all 5: I don’t know | 1.2.9.F |
| F.3.42 | Which types of foods do you believe should not be consumed by young children (the assumption is that they are clean and safe for consumption and consumed in adequate amounts) | SELECT ALL THAT APPLY CONTEXTUALIZE:  1: Vegetables (Add examples)  2: Protein rich foods (Add examples) 3: Fruits (Add examples)  4: Should consume all 5: I don’t know | 1.2.9.F |

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| F.3.43 | Do you process any food at the household level to ensure additional food availability in case of shortages? | SELECT ONE:  1: Yes, with traditional methods 2: Yes, with basic technologies  3: Yes, with traditional methods and basic technologies  4: No, I do not process any foods | 1.2.9.F |
| F.3.44 | What is the main method you use to cook [name *of a vegetable which is common in the project area]* ? | SELECT ONE CONTEXUALIZE  1. Boil and discard water  2. Boil and use the water  3. Wash and eat them raw  4. Steaming  4. Shallow frying  6. Deep fry | 1.2.9.F |

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| MODULE G ENVIRONMENTAL SUSTAINABILITY AND CLIMATE RESILIENCE | | | | |
| G.1 | Did you or anyone in the HH receive [any training or advice on the management of climate related  risks from IFAD funded project: define according to project] | CONTEXTUALIZE with list of climate related risks training provided by the project  SELECT ALL THAT APPLY | 3.2.2 |
| G.2 | Did you or anyone in the HH receive [any training or advice on environmentally sustainable practices from IFAD funded project: define according to project] | CONTEXTUALIZE with list of environmentally sustainable practices training provided by the project  SELECT ALL THAT APPLY | 3.2.2 |
| G.3 | During *[reference period]*, have you been applying any technology or practice for the management of climate related risks based on the  training/advice you received? | CONTEXTUALIZE with list of climate related risks  SELECT ALL THAT APPLY | 3.2.2 |
| G.4 | Do you think the technology or practice for the management of  climate related risks are useful or effective? | CONTEXTUALIZE with list of climate related risks  SELECT ALL THAT APPLY | 3.2.2 |
| G.5 | Have you adopted and will you continue to use the technology or practice for the management of climate related risks? | CONTEXTUALIZE with list of climate related risks  SELECT ALL THAT APPLY | 3.2.2 |
| G.6 | During *[reference period]*, have you been applying any environmentally *sustainable* technology or practice  based on the training/advice you received? | CONTEXTUALIZE with list of environmentally sustainable practices SELECT ALL THAT APPLY | 3.2.2 |
| G.7 | Do you think the environmentally  *sustainable* technology or practice are useful or effective? | CONTEXTUALIZE with list of  environmentally sustainable practices SELECT ALL THAT APPLY | 3.2.2 |
| G.8 | Have you adopted and will you continue to use the environmentally  *sustainable* technology or practice? | CONTEXTUALIZE with list of environmentally sustainable practices SELECT ALL THAT APPLY | 3.2.2 |
| G.9 | How much time do you spend in a day collecting wood for fuel? | In HOURS | 3.2.3 |
| G.10 | How much time do you spend in a day collecting drinking water? | IN HOURS | 3.2.3 |

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| [H PARTICIPATION AND EMPOWERMENT | | | | | |
| H1 ACCESS AND USE OF SERVICES | | | | CI | |
| Prior to conducting the survey, maximum three main services provided by the project should be identified. | | | | | |
| H.1.1 | | Did you or anyone in the HH receive CONTEXTUALIZE: 3 main services A, B, or C from the entity(ies) supported by IFAD funded project? | SELECT ALL THAT APPLY: CONTEXTUALIZE:  Service A Service B Service C  *IF NONE selected , skip H.1.2 and H.1.3* | SF.2.1 | |
| FOR EACH SERVICE RECEIVED SELECTED by the respondent: (H.1.2 TO H.1.3) | | | | | |
| H.1.2 | | Was it easy for your household to access/use [service]? | SELECT ONE:  1: very complicated to access/use - 2:complicated to access/use - 3:Easy to access/use - 4:very easy to access/use | SF.2.1 | |
| H.1.3 | | Were you satisfied with the quality of [service]? | SELECT ONE:  1: Very unsatisfied - 2: Unsatisfied - 3:Satisfied  - 4:Fully/very satisfied | SF.2.1 | |
| H2 GROUP MEMBERSHIP AND INFLUENCE | | | |  | |
| H.2.1 | | Are you or is any member of the household a member of a producer organization/cooperative/Water users organization/community group? | CONTEXTUALIZE  SELECT ALL THAT APPLY :  1: Crop production – 2:Livestock rearing – 3:Fishing – 4:Forestry – 5:Trading/Market access – 6:Processing/transformation –  7: Water Users – 8: Financial services group – 9: Mutual aid group 10: Civic group (improving community) or charitable group (helping others) 11: Religious Group 12: Other community group | IE.2.1  SF.2.2 | |
| FOR EACH ORGANIZATION/GROUP SELECTED IN QUESTION H.2.1: | | | | | |
| H.2.2 | | Who in the household is an active member of the [ORGANIZATION/GROUP]? | Select 2 from [HOUSEHOLD MEMBERS] roster | IE.2.1  SF.2.2 | |
| H.2.3 | | To what extent do you feel like you can influence decisions in  this [ORGANIZATION/GROUP]? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent | IE.2.1  SF.2.2 | |
| H.2.4 | | To what extent do you feel like the [ORGANIZATION/GROUP]  can influence decision-making of local authorities and project- supported service providers? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent | IE.2.1  SF.2.2 | |
| H.2.5 | | To what extent does this [GROUP] influence life in the community beyond the group activities? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent |  | |
|  | H3 TIME ALLOCATION | | | EI.2.1 |  |
|  | MODULE H3. TIME ALLOCATION  H3.01: Please record a log of the activities for the individual in the last complete 24 hours (startig yesterday morning at 4am, finishing 3:59 am of the current day). The time intervals are marked in 30 min intervals. Mark one activity for each time period by entering the corresponding activity code in the box.  H3.02. Check the box below if the respondent was caring for children while performing each activity.  Now I’d like to ask you about how you spent your time during the past 24 hours. We’ll begin from yesterday morning, and continue through to this morning. This will be a detaild accounting. I’m interested in everything you did (i.e. resting, eating, personal care, work inside and outside the home, caring for children, cooking,  shopping, socializing, et.), even if it didn’t take you much time. I’m particularly interested in agricultural activities such as farming, gardening, and livestock raising whether in the field or on the homestead. I’m also | | | |  |

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|  | interested in how much time you spent caring for children, especially if it happened while you did some other activity (e.g., collecting water while carrying a child or cooking while watching after a sleeping child).  Night Morning Day  4:00 5:00 6:00 7:00 8:00 9:00 10:00 11:00 12:00 13:00 14:00 15:00  H3.01 Activity (Write activity code)  H3.02 Did YES…  you also Check  care for box  children? NO…. Leave blank  Day Evening Night  16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00 1:00 2:00 3:00  H3.01 Activity (Write activity code)  H3.02 Did YES…  you also Check  care for box  children? NO…. Leave blank  Activity codes for H3.01 | | | | | | |  |
|  | A………………Sleeping and resting  B.......................Eating and drinking  C……………...………Personal care  D………….School (incl. homework)  E……………..…Work as employed  F…………….…Own business work (other than H-L, O)  G……………...Staple grain farming H…………Horticultural (gardens) or high value crop farming  I………………..…..Large livestock raising (cattle, buffaloes)  J……………...….Small livestock raising (sheep, goats, pigs)  K...............................Poultry and other small animals raising  (chickens, ducks, turkeys, rabbits)  L…………………………………………….…Fishpond culture | | | M……………………….Commuting (to/from work or school) N………..Shopping / getting service (incl. health services)  O………………………..…..Weaving / sewing / textile care  P………………………………………………….…..Cooking  Q…..………..Domestic work (incl. fetching water and fuel)  R…………………………………………..Caring for children  S………………………..…..Caring for adults (sick, elderly)  T…………………………………….Training or meetings related to agriculture or other livelihoods (includes ATVET training)  U……………………..…..Traveling (not for work or school)V………………………...Exercising  W……Social activities and hobbies  X…….…………Religious activities  Y………………..….Other (specify) | |  |
| H5 NEW GENERAL SELF-EFFICACY SCALE: | | | | | |  | | |
| Now I’m going to ask you some questions about different feelings you might have. Please listen to each of the following statements. Think about how each statement relates to your life, and then tell me how much you agree or disagree with the statement on a scale of 1 to 5, where 1 means you “strongly disagree” and 5 means you “strongly agree.”  CONTEXTUALIZE: SELECT ANY 4 OUT OF THE 8 QUESTIONS ACCORDING TO PROJECT´S CONTEXT | | | | | |  | | |
| H.5.1 | | | I will be able to achieve most of the goals that I have set  for myself | SELECT ONE for each question:  1: STRONGLY DISAGREE  2: DISAGREE | | IE.2.1 | | |

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| H.5.2 | When facing difficult  tasks, I am certain that I will accomplish them. | 3: NEITHER AGREE NOR DISAGREE  4: AGREE  5: STRONGLY AGREE | IE.2.1 |
| H.5.3 | In general, I think that I can obtain outcomes  that are important to me | IE.2.1 |
| H.5.4 | I believe I can succeed at most any endeavor to which I  set my mind | IE.2.1 |
| H.5.5 | I will be able to successfully overcome  many challenges | IE.2.1 |
| H.5.6 | I am confident that I can perform effectively on many different  tasks | IE.2.1 |
| H.5.7 | Compared to other people, I can do most  tasks very well. | IE.2.1 |
| H.5.8 | Even when things are  tough, I can perform quite well | IE.2.1 |
| H6 ATTITUDES ABOUT DOMESTIC VIOLENCE | | |  |
| Now I would like to ask about your opinion on the following issues. Please keep in mind that I am not asking about your personal experience or whether the following scenarios have happened to you. I would only like to know whether you think the following issues  are acceptable. | | |  |
| In your opinion, is a husband justified in hitting or beating his wife in the following situations? | | |  |
| H.6.1 | She goes out without  telling him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.2 | She neglects the  children | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.3 | She argues with him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.4 | She refuses to have  sex with him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.5 | She burns the food | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.6 | She applies for a new job or engages in a new livelihood without his consent | 1: Yes – 0: No – 99: Don´t know |  |

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| I RURAL ENTERPRISES | | | |
| *Definition Rural enterprise: Rural enterprises are structured businesses that have a well- defined physical location, normally with legal status, a bank account and some employees. They include pre-entrepreneurial activities such as self-employment initiatives, microenterprises with semi-structured activities and* income generating activities. *Both formal and informal enterprises can be considered, but only non-farm upstream and downstream activities (processing, marketing) are to be included. Production activities are*  *excluded.* | | | |
| *This survey module is conducted at household level but should be applied to beneficiaries involved in rural enterprises promoted by the project.* | | | |
| I0 RURAL ENTERPRISES INFORMATION | | | |
| I.0.1 | Do you or does any member of the household own/manage a rural  enterprise promoted by the project? | 1: Yes – 0: No  *If No, skip to next module* | 2.2.1  2.2.2 |
| I.0.2 | Who in the household owns/manages a rural enterprise promoted by the  project? | Select from [HOUSEHOLD MEMBERS] roster | 2.2.1  2.2.2  IE.2.1 |
| I.0.3 | What is the name of the rural enterprise promoted by the project? | NAME | 2.2.1  2.2.2 |
| I.0.4 | When did the enterprise start? | Month:  Year: | 2.2.1  2.2.2 |
| I.0.5 | What are the main types of businesses of the rural enterprise promoted by the project? | SELECT ALL THAT APPLY :  1:Processing (Crop/Livestock) 2:Processing Fishery Products 3:Commercial Fishing 4:Manufacturing  5:Repair / Service Provider 6:Construction  7:Retail  8:Trade Of Agricultural Products 9:Trade Of Non-Ag Products 10:Restaurant / Hospitality 11:Transportation  12:Education 13:Health Care 14:Administrative 15:Tourism  Other, Specify 999 | 2.2.1  2.2.2 |
| I1 RURAL ENTERPRISES PROFIT | | | |
| I.1.1 | During *[reference period]*, what was  the value of total sales of products, goods or services? | CONTEXTUALIZE: LCU | 2.2.2 |
| I.1.2 | During *[reference period]*, what was the value of total operating costs  (including inventory, wages, equipment, transport, etc.)? | CONTEXTUALIZE: LCU | 2.2.2 |
| I2 RURAL ENTERPRISES EMPLOYMENT | | | |
| I.2.1 | Which household members are  permanently (full-time or recurrent) employed in the rural *enterprise* | Select from HOUSEHOLD MEMBER roster | 2.2.1  IE.2.1 |

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|  | during *[reference period*] including owner and/or manager? |  |  |
| I.2.2 | How many individuals outside of the household are co-managers of the rural enterprise during [reference period]? | Number of females Number of males Number of Young people  CONTEXTUALIZE if required with further disaggregation:  Number of young females Number of young males Number of Indigenous people | 2.2.1 |
| I.2.3 | How many individuals outside of the household were employed in the rural enterprise during the *[reference period]*? | Number of females Number of males Number of Young people  CONTEXTUALIZE if required with further disaggregation:  Number of young females  Number of young males Number of Indigenous people | 2.2.1 |
| I.2.4 | Did you or anyone in the HH receive  vocational training from IFAD funded project: define according to project? | 1: Yes – 0: No | 2.2.1 |
| I.2.5 | Did the person who received  vocational training currently works thanks to this training? | 1: Yes – 0: No | 2.2.1 |

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| J PRODUCER ORGANIZATIONS | | | |
| This survey module is not conducted at household level: it should be applied to producer organizations supported by the project and the questions should be addressed to a resource person knowledgeable about the organization.  *This information might already be available thanks to project´s M&E system. If so, no need to include the questions in the COI questionnaire.* | | | |
| J.1 | What is the name of the producer organization/cooperative? | NAME | 2.2.1  2.2.3  2.2.4  2.2.5 |
| J.2 | What is the name of the president of this cooperative / organization? | NAME |
| J.3 | What is the sex of the president? | 1:Female - 2: Male |
| J.4 | What is the age of the president? | YEARS OF AGE |
| J.5 | What are the main activities of the cooperative / organisation? | SELECT ALL THAT APPLY :  1: Crop production – 2:Livestock rearing  – 3:Fishing – 4:Forestry – 5:Trading/Market access – 6:Processing/transformation –  7: Water Users – 999:Other, specify | 2.2.1  2.2.3  2.2.5 |
| J.6 | How many permanent employees currently work for the organisation (full-time or recurrent seasonal)? | Number of females Number of males Number of Young people  CONTEXTUALIZE if required with further disaggregation:  Number of young females Number of young males Number of Indigenous people | 2.2.1 |

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| J.7 | How many members are affiliated? | Number of females Number of males Number of Young people  CONTEXTUALIZE if required with further disaggregation:  Number of young females Number of young males  Number of Indigenous people | 2.2.4 |
| J.8 | During *[reference period]*, what was the value of total sales of products, goods or services? | CONTEXTUALIZE: LCU | 2.2.5 |
| J.9 | What are the main services provided by the cooperative / organisation? | SELECT ALL THAT APPLY :  1:Storage Facility - 2:Crop Processing facility - 3:Access to market and marketing - 4:Credit/loan - 5:Training - 6:Purchase of equipment or inputs - 999: Other, specify  *Create* SERVICES PROVIDED *roster* | 2.2.4 |
| J.10 | How many members did use the  services provided during *[reference period]*? | Provide number of members using each item from the SERVICES PROVIDED  *roster* | 2.2.4 |
| J.11 | Is the organization/cooperative engaged in formal partnership, agreements or contracts with public or private entities?  For instance: CONTEXTUALIZE | 1: Yes – 0: No | 2.2.3 |
| J.12 | In how many formal partnership, agreements or contracts with public or private entities is the organization/cooperative involved? | Number | 2.2.3 |

PRO-WEAI+MI MODULE G2(A): ROLE IN HOUSEHOLD DECISION-MAKING AROUND LIVELIHOOD ACTIVITIES

| Now I’d like to ask you some questions about your participation in certain types of work activities and on making decisions on various aspects of household life. | | Have you or anyone in your household participated in [ACTIVITY] in the past 12 months, from [PRESENT MONTH] 2018 to [PRESENT MONTH] 2019? | Did you [NAME] participate in [ACTIVITY] in the past 12 months from [PRESENT MONTH] 2018 to [PRESENT MONTH] 2019? | When decisions are made regarding [ACTIVITY], who is it that normally takes the decision?  **ENTER HH MEMBER IDS**  **SELECT UP TO THREE (3) PERSONS**  **IF THE RESPONSE IS SELF ONLY GO TO >> G2.06**  NON-HOUSEHOLD MEMBER….97  NOT APPLICABLE / NO DECISION MADE….…98 🡪 ***NEXT ACTIVITY*** | | | How much input did you have in making decisions about [ACTIVITY]?  **USE CODE FOR G2.04 AND G2.06↓** | To what extent do you feel you can participate in decisions regarding [ACTIVITY] if you want(ed) to?  **CIRCLE ONE** | How much input did you have in decisions about how much of the outputs of [ACTIVITY] to keep for consumption at home rather than selling?  **USE CODE G2.04 AND G2.06↓** | How much input did you have in decisions about how to use income generated from [ACTIVITY]?  **USE CODE G2.04 AND G2.06↓** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ACTIVITY** | | **G2.01** | **G2.02** | **G2.03** | | | **G2.04** | **G2.05** | **G2.06** | **G2.07** |
| **a** | **b** | **c** |
| **A** | **Staple grain** farming, processing, trading or marketing: grains that are grown primarily for food consumption (rice, corn) | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **B** | **[COMMODITY]** farming, processing, trading or marketing | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **C** | **Large livestock** raising (cattle, buffaloes) or processing, trading or marketing of milk, meat or meat products | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **D** | **Other small livestock** raising (goats) or processing, trading or marketing of milk, meat or meat products | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **E** | **Poultry and other small animals** raising (chickens, ducks, turkeys) and processing, trading or marketing of eggs, meat or meat products | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **F** | **Non-farm economic activities in other sectors** (running a small business, self-employment, buy-and-sell) | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **G** | **Wage and salary employment** (work that is paid for in cash or in-kind, including both agriculture and other wage work) | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** | Yes…...1  No…….2 🡪 ***NEXT ACTIVTY*** |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **H** | **Large, occasional household purchases** (bicycles, land, transport vehicles) |  |  |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| **I** | **Routine household purchases** (food for daily consumption or other household needs) |  |  |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |

| **CODE FOR G2.03: DECISION MAKERS** | **CODE FOR G2.04 AND G2.06: INPUT IN DECISION** |
| --- | --- |
| **SELECT UP TO THREE (3) PERSONS WITH THE PRIMARY DECISION MAKER LISTED FIRST:**  SELF 1  SPOUSE (OR PARTNER) IN HH 2  SPOUSE (OR PARTNER) OUTSIDE HH 3  OTHER HH MEMBER 4  NON-HH MEMBER, RELATIVE 5  NON-HH MEMBER, NO RELATION 6  government or other institution 7  CLAN (GROUP OF RELATIVES) 8  NOT APPLICABLE / NO DECISION MADE 98 | **READ ALOUD**  LITTLE TO NO INPUT IN DECISIONS 1  Input into some decisions 2  Input into most OR ALL decisions 3  NOT APPLICABLE / NO DECISION MADE 98 |

PRO-WEAI+MI MODULE G6. PHYSICAL MOBILITY

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| **QUESTION** | **RESPONSE**  **FOR G6.01 - G6.06: USE CODE G6↓** |
| **G6.01** How often do you visit an urban center? |  |
| **G6.02** How often do you go to the market / haat / bazaar? |  |
| **G6.03** How often do you go to visit family or relatives? |  |
| **G6.04** How often do you go to visit a friend / neighbor’s house? |  |
| **G6.05** How often do you go to the hospital / clinic / doctor (seek health service)? |  |
| **G6.06** How often do you go to a public village gathering / community meeting / training for NGO or programs? |  |
| **G6.07.** In the last 12 months, how many times have you been away from home for one or more nights (in other words, sleeping somewhere else for the night)? |  |
| **G6.08.** In the last 12 months, have you been away from home for more than one month at a time? | YES…………………………………………………1  NO…………………………………………………..2  ***IF RESPONDENT IS MALE*** 🡪***MODULE G7*** |

|  |
| --- |
| **CODE G6** |
| EVERYDAY 1  EVERY WEEK AT LEAST ONCE 2  EVERY 2 WEEKS AT LEAST ONCE 3  EVERY MONTH AT LEAST ONCE 4  LESS THAN ONCE A MONTH 5  NEVER 6 |

PRO-WEAI+MI MODULE G7: INTRAHOUSEHOLD RELATIONSHIPS

| **G7.01** | | CAPI: Is there another pro-WEAI respondent in the household? | | | | YES……1 🡪 ***relation A***  NO..……2 | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **G7.02** | | CAPI: Is there another adult in the household? | | | | YES……1 🡪 ***relation B***  NO..……2 🡪 ***GO TO MODULE G8(A)*** | | | | |
|  | | | |  |  | |  |  |  |  |
| Now I’d like to ask you some questions about how you feel about some of other people in your household or family group and how you think they feel about you.  **ENTER MEMBER ID FOR EACH RELATION**  **OTHER CODES:**  NON-HH MEMBER...….94 | | | | Do you [NAME] respect your [RELATION]?  **Read responses out loud** | Does your [RELATION] respect you?  **Read responses out loud** | | Do you trust your [RELATION] to do things that are in your best interest?  **Read responses out loud** | When you disagree with your [RELATION], do you feel comfortable telling him/her that you disagree?  **Read responses out loud** |  | Is there a co-wife within your household?  FOR WOMEN ONLY |
| **RELATION** | | | **G7.03** | **G7.04** | **G7.05** | | **G7.06** | **G7.07** | **G7.08** |
| **A** | ***Other pro-WEAI respondent in household***: [Other respondent’s name] | | **ID #** | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | ***IF RESPONDENT IS***  ***MALE*** 🡪 ***MODULE G8(A)*** | YES……1 🡪 ***relation C***  NO..……2 🡪 ***MODULE G8(A)*** |
|  |
| **B** | ***Other adult within the household***:  [Other adult’s name] | | **ID #** | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | ***IF RESPONDENT IS***  ***MALE*** 🡪 ***MODULE G8(A)*** | YES……1 🡪 ***relation C***  NO..……2 🡪 ***MODULE G8(A)*** |
|  |
| **C** | Most senior co-wife or, if you are the senior wife, the wife who married into the household after you | | **ID #** | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER……………………….4 |  |  |
|  |

PRO-WEAI+MI MODULE G8(A): AUTONOMY IN DECISION-MAKING

***ENUMERATOR:*** *This set of stories are applicable to all respondents, regardless of their source of livelihood.*

|  |  |  |
| --- | --- | --- |
|  | Now I am going to read you three short descriptions about different people and how the decide to use the income they earn.  **READ ALOUD EACH STORY. USE THE MALE/FEMALE NAME CORRESPONDING WITH THE SEX OF THE RESPONDENT.**  *Randomize names in CAPI*  **(CHICHEWA / LAMBYA)** | Are you like [NAME]?  **CIRCLE ONE** |
|  |  | **G8.01** |
| **C1** | *“***[ANAPHIRI / ACHILSALE]****[LUCY / JAMES]** *uses her (his) income how another person tells her (him) she (he) must use it.”* | YES 1  NO 2 |
| **C2** | *“No one tells* **[ANAMBEWE / ABANDA] [LITA / EDWIN]** *how to use her (his) income. But, she (he) uses her (his) income in the way that her (his) family or community expects.”* | YES 1  NO 2 |
| **C3** | *“***[AJENIFA / AYOHANE] [MARIA / ARONI]** *chooses to use her (his) income how she (he) personally wants to, and thinks is best.”* | YES 1  NO 2 |

***ENUMERATOR:*** *The stories are applicable to respondents who are wage workers*

| Now I am going to read you short stories about different people and their situation. After each story, I will then ask you whether you think you are like or not like each of these people. There are no right or wrong answers to these questions.  **READ ALOUD EACH STORY. NAMES SHOULD BE ADOPTED TO LOCAL CONTEXT. USE THE MALE/FEMALE NAME CORRESPONDING WITH THE SEX OF THE RESPONDENT** | | Are you like [NAME]?  **CIRCLE ONE** |
| --- | --- | --- |
| **WAGE WORKER STORIES** | | **G8.02** |
| **W1** | *“Even if the working conditions are unsafe or* **[TERE / JOMARI]** *is exposed to harassment, she (he) is working for someone else outside the home because another person in her family is telling her (him) to do so. She (He) does what they tell her (him) to do.”* | YES...1  NO.....2 |
| **W2** | *“Even if the working conditions are unsafe or* **[ELLA / BENNY]** *is exposed to harassment, she (he) is working for someone else outside the home because doing this job is what her (his) family or community expects. She (He) wants them to approve of her (him).”* | YES...1  NO.....2 |
| **W3** | *“Even if the working conditions are unsafe or* **[NITA / KALOY]** *is exposed to harassment, she (he) is working for someone else outside the home because she wants to. She (He) knows how to protect herself (himself) against harassment and to make sure that she (he) is safe.”* | YES...1  NO.....2 |

PRO-WEAI+MI MODULE G12(A): ACCESS TO RELIABLE SANITATION

| Next, I am going to ask you some questions about access to sanitation facilities. | | |
| --- | --- | --- |
| Question | | Response |
| G12.01 | Where do you spend most of your working time? | AGRICULTURAL PLOT…………………………………………………. .….1  FISHERY/FISHPOND 2  HIVE 3  ORCHARD 4  HOUSEHOLD PLOT…………………………………………………… ....5  HOME (E.G., HOME PROCESSING) 6  MARKETPLACE……………………………………………………… ….7  PROCESSING CENTER……………………………………………… …8  SHOP……………………………………………………………… …9  OFFICE……………………………………………………………… ...10  OTHER (SPECIFY)…………………………………………………… …97 |
| G12.02 | At or near your place of work, is there a place where you think it is safe and clean for you to urinate? | YES………………………………………………………………… ………1  NO…………………………………………………………………… ………2  DON’T KNOW………………………………………………………… ………97  NA…………………………………………………………………… ….98 |
| G12.03 | At or near your place of work, is there a place where you think it is safe and clean for you to defecate? | YES…………………………………………………………… ……….1  NO…………………………………………………………… ….2  DON’T KNOW………………………………………………… ……….97  NA…………………………………………………………… ……………98 |
| G12.04 | At or near your place of work, is there a place for you to wash your hands? | YES…………………………………………………………… …………...1  NO……………………………………………………………… ……….…2  DON’T KNOW…………………………………………………… …….……97  NA……………………………………………………………… ………...98 |

End of survey for men

begin survey for women

|  |  |
| --- | --- |
| Module | CI OUTCOME (short name) |
| G(1)A: INDIVIDUAL IDENTIFICATION | Identification and demographics: |
| G(2)A: ROLE IN HOUSEHOLD DECISION-MAKING AROUND LIVELIHOOD ACTIVITIES | Household characterization |
| [B] ASSETS |  |
| [F] FINANCIAL SERVICES |  |
| [H2] GROUP MEMBERSHIP AND INFLUENCE  [H3] TIME ALLOCATION  [H5] GENERAL SELF-EFFICACY SCALE  [H6] ATTITUDES ABOUT DOMESTIC VIOLENCE | SF.2.1. Satisfaction with project- supported services  SF.2.2. Influence in decision-making of local authorities and project- supported service providers  IE.2.1. Improvement in empowerment |
| G6. PHYSICAL MOBILITY  G7: INTRAHOUSEHOLD RELATIONSHIPS  G8(A): AUTONOMY IN DECISION-MAKING  G12(A): ACCESS TO RELIABLE SANITATION  [F2] DIET DIVERSITY  G14: SEXUAL HOSTILITY | IE.2.1. Improvement in empowerment |

PRO-WEAI+MI MODULE G1(A): INDIVIDUAL IDENTIFICATION

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Enter the names of household members from the household questionnaire. PLEASE ENSURE THAT THE IDS MATCH THE NAMES | | | | | | | |
| Respondent ID | | Name | | | | | |
| 1 | |  | | | | | |
| 2 | |  | | | | | |
| 3 | |  | | | | | |
| 4 | |  | | | | | |
| 5 | |  | | | | | |
|  | | | | | | | |
| G1.01 | CAPI: Household Identification: | |  |  | G1.04 | TYPE OF HOUSEHOLD | Male and female adult respondents 1  Female adult respondent only 2 |
| G1.02a | Name from roster in MODULE B Household Roster:  Surname, First name | |  | G1.05A | MAIN VALUE CHAIN COMMODITY FOR SURVEY | MANGO 1  PINEAPPLE 2  FISH FARMING, PROCESSING, TRADING 3  VEGETABLE 4  COMPOSTING FOR BUSINESS 5  LANDSCAPING FOR BUSINESS 6  OTHER (SPECIFY) 97 |
| G1.05B | LANGUAGE OF INTERVIEW | CHICHEWA…………….…. 1  LAMBYA…………….…. 2  OTHER (SPECIFY)……….. 97 |
| G1.06 | Outcome of interview:  SELECT ONE | Completed 1  HOUSEHOLD MEMBER TOO ILL TO RESPOND 2  RESPONDENT Not at home/temporarily unavailable 3  RESPONDENT Not at home/extended absence 4  REFUSED 5 |
| G1.02B | Member ID Code from roster in MODULE B Household Roster: | |  |
| G1.03 | Sex of respondent: | | Male 1  FEMale 2 |
| G1.07 | Ability to be interviewed alone:  CIRCLE ONE | Alone 1  With adult females present 2  With adult males present 3  With adults of BOTH sex present 4  With children present 5  With adults OF BOTH sex and children present 6 |

PRO-WEAI+MI MODULE G2(A): ROLE IN HOUSEHOLD DECISION-MAKING AROUND LIVELIHOOD ACTIVITIES

| Now I’d like to ask you some questions about your participation in certain types of work activities and on making decisions on various aspects of household life. | | Have you or anyone in your household participated in [ACTIVITY] in the past 12 months, from [PRESENT MONTH] 2018 to [PRESENT MONTH] 2019? | Did you [NAME] participate in [ACTIVITY] in the past 12 months from [PRESENT MONTH] 2018 to [PRESENT MONTH] 2019? | When decisions are made regarding [ACTIVITY], who is it that normally takes the decision?  ENTER HH MEMBER IDS  SELECT UP TO THREE (3) PERSONS  IF THE RESPONSE IS SELF ONLY GO TO >> G2.06  NON-HOUSEHOLD MEMBER….97  NOT APPLICABLE / NO DECISION MADE….…98 🡪 NEXT ACTIVITY | | | How much input did you have in making decisions about [ACTIVITY]?  USE CODE FOR G2.04 AND G2.06↓ | To what extent do you feel you can participate in decisions regarding [ACTIVITY] if you want(ed) to?  CIRCLE ONE | How much input did you have in decisions about how much of the outputs of [ACTIVITY] to keep for consumption at home rather than selling?  USE CODE G2.04 AND G2.06↓ | How much input did you have in decisions about how to use income generated from [ACTIVITY]?  USE CODE G2.04 AND G2.06↓ |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ACTIVITY | | G2.01 | G2.02 | G2.03 | | | G2.04 | G2.05 | G2.06 | G2.07 |
| a | b | c |
| A | Staple grain farming, processing, trading or marketing: grains that are grown primarily for food consumption (rice, corn) | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| B | [COMMODITY] farming, processing, trading or marketing | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| C | Large livestock raising (cattle, buffaloes) or processing, trading or marketing of milk, meat or meat products | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| D | Other small livestock raising (goats) or processing, trading or marketing of milk, meat or meat products | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| E | Poultry and other small animals raising (chickens, ducks, turkeys) and processing, trading or marketing of eggs, meat or meat products | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| F | Non-farm economic activities in other sectors (running a small business, self-employment, buy-and-sell) | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| G | Wage and salary employment (work that is paid for in cash or in-kind, including both agriculture and other wage work) | Yes…...1  No…….2 🡪 NEXT ACTIVTY | Yes…...1  No…….2 🡪 NEXT ACTIVTY |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| H | Large, occasional household purchases (bicycles, land, transport vehicles) |  |  |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |
| I | Routine household purchases (food for daily consumption or other household needs) |  |  |  |  |  |  | Not at all 1  Small extent 2  Medium extent 3  high extent 4 |  |  |

| CODE FOR G2.03: DECISION MAKERS | CODE FOR G2.04 AND G2.06: INPUT IN DECISION |
| --- | --- |
| SELECT UP TO THREE (3) PERSONS WITH THE PRIMARY DECISION MAKER LISTED FIRST:  SELF 1  SPOUSE (OR PARTNER) IN HH 2  SPOUSE (OR PARTNER) OUTSIDE HH 3  OTHER HH MEMBER 4  NON-HH MEMBER, RELATIVE 5  NON-HH MEMBER, NO RELATION 6  government or other institution 7  CLAN (GROUP OF RELATIVES) 8  NOT APPLICABLE / NO DECISION MADE 98 | READ ALOUD  LITTLE TO NO INPUT IN DECISIONS 1  Input into some decisions 2  Input into most OR ALL decisions 3  NOT APPLICABLE / NO DECISION MADE 98 |

|  |  |  |  |
| --- | --- | --- | --- |
| B2 ASSETS (REPLACES PRO-WEAI+MI MODULE ON ACCESS TO PRODUCTIVE CAPITAL) | | | CI |
| FOR MAIN AND LARGE ASSET ITEMS ONLY: | | |  |
| B.2.3 | Do you [NAME] own any [ITEM]? | 1: YES, SOLELY  2: YES, JOINTLY  3: YES, SOLELY AND JOINTLY  4: NO |  |
| B.2.4 | Who makes the decisions about purchase and use? | Select 2 from HOUSEHOLD MEMBER  roster | IE.2.1 |
| [ASSETS ROSTER: CONTEXTUALIZE AND INCLUDE ONLY RELEVANT ASSETS (Max 10) BASED ON COUNTRY AND PROJECT´S CHARACTERISTICS . | | | |
| Household Assets: | | Productive assets: | |
| Table | | Hand hoe | |
| Chair (not upholstered) | | Slasher | |
| Upholstered chair, sofa | | Axe | |
| Coffee table | | Saw | |
| Cupboard, drawers, bureau, side table,  bookcase | | Sprayer | |
| Bed | | Panga knife / machete | |
| Fan | | Sickle | |
| Lantern (paraffin) | | Treadle pump | |
| Clock | | Watering can | |
| Sewing machine | | Hilaire/daba | |
| Iron (for clothes) | | Hand cart/wheelbarrow | |
| Refrigerator / freezer | | Ox cart | |
| Charcoal stove | | Ox plough | |
| Kerosene/paraffin stove | | Tractor | |
| Electric/gas stove | | Tractor plough | |
| Radio | | Motorised pump | |
| Tape/CD/audio player | | Mechanical dryer | |
| Television / VCR / DVD player | | Solar dryer | |
| Satellite dish | | Grain mill | |
| Solar panel | | Seeder | |
| Generator | | Poultry house | |
| Smart phone | | Livestock enclosure | |
| Mobile phone | | Storage house | |
| Computer equipment and accessories | | Granary | |
| Jewelry / watches | | Livestock barn | |
| Bicycle | | Pig sty | |
| Motorcycle/scooter | |  | |
| Motor vehicle | |  | |
| Boat | |  | |

|  |  |  |  |
| --- | --- | --- | --- |
| MODULE E FINANCIAL SERVICES  (REPLACES PRO-WEAI+MI MODULE ON ACCESS TO CREDIT) | | | |
| E.0 | Has any member of the Household participated in any  financial literacy training programs from the project ? | 1: Yes – 0: No  If No, Skip questions E.1 to E.11 | 1.2.5 |
| E.1 | Are any of these financial product or service available in the community? | CONTEXTUALIZE: list of financial product or service specifically promoted/supported by the project and its partner financial service provider  Select all that apply:  Savings / Credit / Insurance / Remittances | 1.2.5  IE.2.1 |
|  | Would you or anyone in your household be able to take a loan or borrow cash/in-kind from [SOURCE] if you wanted to?  Non-governmental organization (NGO)  Formal lender (bank/financial institution)  Informal lender  Friends or relatives  Group based micro-finance or lending including VSLAs / SACCOs  Informal credit / savings groups (e.g., merry-go-rounds, tontines, funeral societies, etc.)  Other (specify) | YES...…….1  NO………..2 🡪 NEXT SOURCE  MAYBE.….3 |  |
| E.2 | Did you or any member of the household use any of this financial product or service during the [reference period] ? | CONTEXTUALIZE: list of financial product or service specifically promoted/supported by the project and its partner financial service provider  SELECT ALL THAT APPLY:  Savings / Credit / Insurance / Remittances Create FINANCIAL SERVICES roster with financial product or service selected in E.13  If No, Skip questions E.1 to E.11 | 1.2.5  IE.2.1 |
| FOR EACH UNIT OF FINANCIAL SERVICES ROSTER: (E.4 TO E.11) | | | |
| E.3 | Who in the household decided to apply for the [FINANCIAL  SERVICE] during the [reference period]? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| E.7 | Who made the decision about what to do with the money or item from this [FINANCIAL  SERVICE]? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |
| E.8 | Who is responsible for repaying the money or item borrowed from this [FINANCIAL SERVICE]? | Select up to 2 from HOUSEHOLD MEMBER roster | IE.2.1 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| H2 GROUP MEMBERSHIP AND INFLUENCE  (PRELACES PRO-WEAI+MI MODULE ON GROUP MEMBERSHIP) | | | |  | |
| Questions addressed to members of producer organizations and community groups (at HH  level)N | | | | | |
| H.2.1 | | Are you or is any member of the household a member of a producer organization/cooperative/Water users organization/community group? | CONTEXTUALIZE  SELECT ALL THAT APPLY :  1: Crop production – 2:Livestock rearing – 3:Fishing – 4:Forestry – 5:Trading/Market access – 6:Processing/transformation –  7: Water Users – 8: Financial services group – 9: Mutual aid group 10: Civic group (improving community) or charitable group (helping others) 11: Religious Group 12: Other community group | IE.2.1  SF.2.2 | |
| FOR EACH ORGANIZATION/GROUP SELECTED IN QUESTION H.2.1: | | | | | |
| H.2.2 | | Who in the household is an active member of the [ORGANIZATION/GROUP]? | Select 2 from [HOUSEHOLD MEMBERS] roster | IE.2.1  SF.2.2 | |
| H.2.3 | | To what extent do you feel like you can influence decisions in  this [ORGANIZATION/GROUP]? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent | IE.2.1  SF.2.2 | |
| H.2.4 | | To what extent do you feel like the [ORGANIZATION/GROUP]  can influence decision-making of local authorities and project- supported service providers? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent | IE.2.1  SF.2.2 | |
| H.2.5 | | To what extent does this [GROUP] influence life in the community beyond the group activities? | 1: Not at all – 2:Small Extent – 3: Medium extent – 4:High extent |  | |
|  | H3 TIME ALLOCATION | | | EI.2.1 |  |
|  | MODULE H3. TIME ALLOCATION  H3.01: Please record a log of the activities for the individual in the last complete 24 hours (startig yesterday morning at 4am, finishing 3:59 am of the current day). The time intervals are marked in 30 min intervals. Mark one activity for each time period by entering the corresponding activity code in the box.  H3.02. Check the box below if the respondent was caring for children while performing each activity.  Now I’d like to ask you about how you spent your time during the past 24 hours. We’ll begin from yesterday morning, and continue through to this morning. This will be a detaild accounting. I’m interested in everything you did (i.e. resting, eating, personal care, work inside and outside the home, caring for children, cooking,  shopping, socializing, et.), even if it didn’t take you much time. I’m particularly interested in agricultural activities such as farming, gardening, and livestock raising whether in the field or on the homestead. I’m also  interested in how much time you spent caring for children, especially if it happened while you did some other activity (e.g., collecting water while carrying a child or cooking while watching after a sleeping child). | | | |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Night Morning Day  4:00 5:00 6:00 7:00 8:00 9:00 10:00 11:00 12:00 13:00 14:00 15:00  H3.01 Activity (Write activity code)  H3.02 Did YES…  you also Check  care for box  children? NO…. Leave blank  Day Evening Night  16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00 1:00 2:00 3:00  H3.01 Activity (Write activity code)  H3.02 Did YES…  you also Check  care for box  children? NO…. Leave blank  Activity codes for H3.01 | | | |  |
|  | A………………Sleeping and resting  B.......................Eating and drinking  C……………...………Personal care  D………….School (incl. homework)  E……………..…Work as employed  F…………….…Own business work (other than H-L, O)  G……………...Staple grain farming H…………Horticultural (gardens) or high value crop farming  I………………..…..Large livestock raising (cattle, buffaloes)  J……………...….Small livestock raising (sheep, goats, pigs)  K...............................Poultry and other small animals raising  (chickens, ducks, turkeys, rabbits)  L…………………………………………….…Fishpond culture | M……………………….Commuting (to/from work or school) N………..Shopping / getting service (incl. health services)  O………………………..…..Weaving / sewing / textile care  P………………………………………………….…..Cooking  Q…..………..Domestic work (incl. fetching water and fuel)  R…………………………………………..Caring for children  S………………………..…..Caring for adults (sick, elderly)  T…………………………………….Training or meetings related to agriculture or other livelihoods (includes ATVET training)  U……………………..…..Traveling (not for work or school)V………………………...Exercising  W……Social activities and hobbies  X…….…………Religious activities  Y………………..….Other (specify) |  |

|  |  |  |  |
| --- | --- | --- | --- |
| H5 NEW GENERAL SELF-EFFICACY SCALE: | | |  |
| Now I’m going to ask you some questions about different feelings you might have. Please listen to each of the following statements. Think about how each statement relates to your life, and then tell me how much you agree or disagree with the statement on a scale of 1 to 5, where 1 means you “strongly disagree” and 5 means you “strongly agree.”  CONTEXTUALIZE: SELECT ANY 4 OUT OF THE 8 QUESTIONS ACCORDING TO PROJECT´S CONTEXT | | |  |
| H.5.1 | I will be able to achieve most of the goals that I have set  for myself | SELECT ONE for each question:  1: STRONGLY DISAGREE  2: DISAGREE | IE.2.1 |
| H.5.2 | When facing difficult  tasks, I am certain that I will accomplish them. | 3: NEITHER AGREE NOR DISAGREE  4: AGREE  5: STRONGLY AGREE | IE.2.1 |
| H.5.3 | In general, I think that I can obtain outcomes  that are important to me | IE.2.1 |
| H.5.4 | I believe I can succeed at most any endeavor to which I  set my mind | IE.2.1 |
| H.5.5 | I will be able to successfully overcome  many challenges | IE.2.1 |
| H.5.6 | I am confident that I can perform effectively on many different  tasks | IE.2.1 |
| H.5.7 | Compared to other people, I can do most  tasks very well. | IE.2.1 |
| H.5.8 | Even when things are  tough, I can perform quite well | IE.2.1 |
| H6 ATTITUDES ABOUT DOMESTIC VIOLENCE | | |  |
| Now I would like to ask about your opinion on the following issues. Please keep in mind that I am not asking about your personal experience or whether the following scenarios have happened to you. I would only like to know whether you think the following issues  are acceptable. | | |  |
| In your opinion, is a husband justified in hitting or beating his wife in the following situations? | | |  |
| H.6.1 | She goes out without  telling him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.2 | She neglects the  children | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.3 | She argues with him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.4 | She refuses to have  sex with him | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.5 | She burns the food | 1: Yes – 0: No – 99: Don´t know | IE.2.1 |
| H.6.6 | She applies for a new job or engages in a new livelihood without his consent | 1: Yes – 0: No – 99: Don´t know |  |
|  |  |  |  |

PRO-WEAI+MI MODULE G6. PHYSICAL MOBILITY

|  |  |
| --- | --- |
| QUESTION | RESPONSE  FOR G6.01 - G6.06: USE CODE G6↓ |
| G6.01 How often do you visit an urban center? |  |
| G6.02 How often do you go to the market / haat / bazaar? |  |
| G6.03 How often do you go to visit family or relatives? |  |
| G6.04 How often do you go to visit a friend / neighbor’s house? |  |
| G6.05 How often do you go to the hospital / clinic / doctor (seek health service)? |  |
| G6.06 How often do you go to a public village gathering / community meeting / training for NGO or programs? |  |
| G6.07. In the last 12 months, how many times have you been away from home for one or more nights (in other words, sleeping somewhere else for the night)? |  |
| G6.08. In the last 12 months, have you been away from home for more than one month at a time? | YES…………………………………………………1  NO…………………………………………………..2  IF RESPONDENT IS MALE 🡪MODULE G7 |

|  |
| --- |
| CODE G6 |
| EVERYDAY 1  EVERY WEEK AT LEAST ONCE 2  EVERY 2 WEEKS AT LEAST ONCE 3  EVERY MONTH AT LEAST ONCE 4  LESS THAN ONCE A MONTH 5  NEVER 6 |

REMAINDER OF MODULE (G6.09-G6.08) SHOULD ONLY BE ASKED IF RESPONDENT IS FEMALE

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Now I’d like to ask you some questions about different places you might visit. | | Who usually decides whether you can go to [PLACE]?  ENTER UP TO THREE (3) MEMBER IDs  IF RESPONSE IS MEMBER ID (SELF) ONLY 🡪 NEXT PLACE  OTHER CODES:  NON-HH MEMBER...….94  NOT APPLICABLE….…98 | | | Does your husband/partner or other household member object to you going alone to [PLACE]? | Under what circumstances would this person NOT object to your going to [PLACE] alone?  CIRCLE ALL APPLICABLE | Do these objections prevent you from going alone to [PLACE]? |
| PLACE | | G6.09 | | | G6.10 | G6.11 | G6.12 |
| ID #1 | ID #2 | ID #3 |
| A | Urban center |  |  |  | YES……1  NO..……2 🡪 PLACE B | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE B | YES……1  NO..……2 |
| B | Market / haat / bazaar |  |  |  | YES……1  NO..……2 🡪 PLACE C | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE C | YES……1  NO..……2 |
| C | Visit family or relatives |  |  |  | YES……1  NO..……2 🡪 PLACE D | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE D | YES……1  NO..……2 |
| D | Visit a friend / neighbor’s house |  |  |  | YES……1  NO..……2 🡪 PLACE E | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE E | YES……1  NO..……2 |
| E | Hospital / clinic / doctor (seek health service) |  |  |  | YES……1  NO..……2 🡪 PLACE F | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE F | YES……1  NO..……2 |
| F | Temple / church / mosque |  |  |  | YES……1  NO..……2 🡪 PLACE G | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE G | YES……1  NO..……2 |
| G | Public village gathering or community meeting |  |  |  | YES……1  NO..……2 🡪 PLACE H | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE H | YES……1  NO..……2 |
| H | Training for NGO / programs |  |  |  | YES……1  NO..……2 🡪 PLACE I | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 PLACE I | YES……1  NO..……2 |
| I | Outside your community or village |  |  |  | YES……1  NO..……2 🡪 MODULE G7 | IF I HAVE COMPANY (RELATIVES, CHILDREN)………………………..….1  IF I CAN ARRANGE MY OWN EXPENSES (FOR TRANSPORT)………....2  IF I FOLLOW PURDAH / DRESS ACCEPTABLY…………………………...3  OTHER (SPECIFY)………………………………………………………………4  UNDER NO CIRCUMSTANCES WOULD I BE ALLOWED TO GO………..5 🡪 MODULE G7 | YES……1  NO..……2 |

PRO-WEAI+MI MODULE G7: INTRAHOUSEHOLD RELATIONSHIPS

| G7.01 | | CAPI: Is there another pro-WEAI respondent in the household? | | | | YES……1 🡪 relation A  NO..……2 | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| G7.02 | | CAPI: Is there another adult in the household? | | | | YES……1 🡪 relation B  NO..……2 🡪 GO TO MODULE G8(A) | | | | |
|  | | | |  |  | |  |  |  |  |
| Now I’d like to ask you some questions about how you feel about some of other people in your household or family group and how you think they feel about you.  ENTER MEMBER ID FOR EACH RELATION  OTHER CODES:  NON-HH MEMBER...….94 | | | | Do you [NAME] respect your [RELATION]?  Read responses out loud | Does your [RELATION] respect you?  Read responses out loud | | Do you trust your [RELATION] to do things that are in your best interest?  Read responses out loud | When you disagree with your [RELATION], do you feel comfortable telling him/her that you disagree?  Read responses out loud |  | Is there a co-wife within your household?  FOR WOMEN ONLY |
| RELATION | | | G7.03 | G7.04 | G7.05 | | G7.06 | G7.07 | G7.08 |
| A | Other pro-WEAI respondent in household: [Other respondent’s name] | | ID # | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4  DON’T KNOW………………5 | IF RESPONDENT IS  MALE 🡪 MODULE G8(A) | YES……1 🡪 relation C  NO..……2 🡪 MODULE G8(A) |
|  |
| B | Other adult within the household:  [Other adult’s name] | | ID # | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | IF RESPONDENT IS  MALE 🡪 MODULE G8(A) | YES……1 🡪 relation C  NO..……2 🡪 MODULE G8(A) |
|  |
| C | Most senior co-wife or, if you are the senior wife, the wife who married into the household after you | | ID # | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER………………………4 | MOST OF THE TIME...........1  SOMETIMES………………..2  RARELY……………………..3  NEVER……………………….4 |  |  |
|  |

PRO-WEAI+MI MODULE G8(A): AUTONOMY IN DECISION-MAKING

ENUMERATOR: This set of stories are applicable to all respondents, regardless of their source of livelihood.

|  |  |  |
| --- | --- | --- |
|  | Now I am going to read you three short descriptions about different people and how the decide to use the income they earn.  READ ALOUD EACH STORY. USE THE MALE/FEMALE NAME CORRESPONDING WITH THE SEX OF THE RESPONDENT.  Randomize names in CAPI  (CHICHEWA / LAMBYA) | Are you like [NAME]?  CIRCLE ONE |
|  |  | G8.01 |
| C1 | “[ANAPHIRI / ACHILSALE] [LUCY / JAMES] uses her (his) income how another person tells her (him) she (he) must use it.” | YES 1  NO 2 |
| C2 | “No one tells [ANAMBEWE / ABANDA] [LITA / EDWIN] how to use her (his) income. But, she (he) uses her (his) income in the way that her (his) family or community expects.” | YES 1  NO 2 |
| C3 | “[AJENIFA / AYOHANE] [MARIA / ARONI] chooses to use her (his) income how she (he) personally wants to, and thinks is best.” | YES 1  NO 2 |

ENUMERATOR: The stories are applicable to respondents who are wage workers

| Now I am going to read you short stories about different people and their situation. After each story, I will then ask you whether you think you are like or not like each of these people. There are no right or wrong answers to these questions.  READ ALOUD EACH STORY. NAMES SHOULD BE ADOPTED TO LOCAL CONTEXT. USE THE MALE/FEMALE NAME CORRESPONDING WITH THE SEX OF THE RESPONDENT | | Are you like [NAME]?  CIRCLE ONE |
| --- | --- | --- |
| WAGE WORKER STORIES | | G8.02 |
| W1 | “Even if the working conditions are unsafe or [TERE / JOMARI] is exposed to harassment, she (he) is working for someone else outside the home because another person in her family is telling her (him) to do so. She (He) does what they tell her (him) to do.” | YES...1  NO.....2 |
| W2 | “Even if the working conditions are unsafe or [ELLA / BENNY] is exposed to harassment, she (he) is working for someone else outside the home because doing this job is what her (his) family or community expects. She (He) wants them to approve of her (him).” | YES...1  NO.....2 |
| W3 | “Even if the working conditions are unsafe or [NITA / KALOY] is exposed to harassment, she (he) is working for someone else outside the home because she wants to. She (He) knows how to protect herself (himself) against harassment and to make sure that she (he) is safe.” | YES...1  NO.....2 |

PRO-WEAI MODULE G12(A): ACCESS TO RELIABLE SANITATION

| Next, I am going to ask you some questions about access to sanitation facilities. | | |
| --- | --- | --- |
| Question | | Response |
| G12.01 | Where do you spend most of your working time? | AGRICULTURAL PLOT…………………………………………………. .….1  FISHERY/FISHPOND 2  HIVE 3  ORCHARD 4  HOUSEHOLD PLOT…………………………………………………… ....5  HOME (E.G., HOME PROCESSING) 6  MARKETPLACE……………………………………………………… ….7  PROCESSING CENTER……………………………………………… …8  SHOP……………………………………………………………… …9  OFFICE……………………………………………………………… ...10  OTHER (SPECIFY)…………………………………………………… …97 |
| G12.02 | At or near your place of work, is there a place where you think it is safe and clean for you to urinate? | YES………………………………………………………………… ………1  NO…………………………………………………………………… ………2  DON’T KNOW………………………………………………………… ………97  NA…………………………………………………………………… ….98 |
| G12.03 | At or near your place of work, is there a place where you think it is safe and clean for you to defecate? | YES…………………………………………………………… ……….1  NO…………………………………………………………… ….2  DON’T KNOW………………………………………………… ……….97  NA…………………………………………………………… ……………98 |
| G12.04 | At or near your place of work, is there a place for you to wash your hands? | YES…………………………………………………………… …………...1  NO……………………………………………………………… ……….…2  DON’T KNOW…………………………………………………… …….……97  NA……………………………………………………………… ………...98 |

PRO-WEAI+MI MODULE G14: SEXUAL HOSTILITY

(Women only)

|  |
| --- |
| Next, I will ask you some questions about experiences that others of your same gender and age may experience while trying to earn a earn a livelihood. |
| Earlier you said that you spend most of your working time at |

|  |  | In general, for [men/women] of your age who live in your community, when they are at their place of work, how often do you think that they have experiences where others [OCCURRENCE]? |
| --- | --- | --- |
|  | OCCURRENCE | G14.01 |
| A | Treat you “differently” because of your sex (for example, mistreated, slighted, or ignored you)? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| B | Make remarks that people of your sex are not suited for the kind of work you do? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| C | Spread rumors about your sexuality, sex life, etc.? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| D | Make unwanted attempts to establish a romantic or sexual relationship with you despite your efforts to discourage it? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| E | Make you feel like you are being bribed to engage in sexual behavior? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| F | Sexually proposition you, for example invited you to engage in sexual intercourse with them? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |
| G | Make you afraid you will be treated poorly, for example threatened you, did not give you work, or ignored you if you didn’t cooperate sexually? | Always 1  Often 2  Sometimes 3  Never 4  Refused To Answer 98 |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| F2 DIET DIVERSITY | | | | |
| F.2.2 | Questions only for women 15-49 years old.  Can I ask you about the food you consumed yesterday from early morning to the time until you went to sleep in your home or elsewhere? Please tell me what you ate and drank from early morning after you woke-up in the morning to the time you went to bed at night. Mention any food that is more than XXX (in a local measure equivalent to 15 gm. e.g. spoon full, handful etc: Yes if >15mg and No if  <15mg | | | 1.2.8 |
| 1. Any food made from grains and any white roots or tuber or plantains, like: | | CONTEXTUALIZE  Porridge, bread, rice, pasta/noodles or other food made from grains  White potatoes, white yam, manioc/cassava/yucca, cocoyam, taro or any other foods made from white- fleshed roots or tubers, or plantains | 1: Yes –  0: No | 1.2.8 |
| 2.Any beans or peas such as: | | CONTEXTUALIZE  Mature beans or peas (fresh or dried seed), lentils or  beans/pea products, including hummus, tofu, and tempeh | 1: Yes –  0: No | 1.2.8 |
| 3. Any nuts or seeds, like: | | CONTEXTUALIZE  Any tree nuts, groundnut/peanut, or certain seeds or nut/seed "butter' or pastes | 1: Yes –  0: No | 1.2.8 |
| 4. Any milk or milk products, such as: | | CONTEXTUALIZE  Milk, cheese, yogurt or other milk products, but not including butter, ice cream, cream or sour cream | 1: Yes –  0: No | 1.2.8 |
| 5. Any meat, poultry and fish products, such as: | | CONTEXTUALIZE  Liver, kidney, heart or other organ meats or blood- based foods, including from wild games  Beef, pork, lamb, goat, rabbit, wild game meat, chicken, duck, other birds  Fresh or dried fish, shellfish or seafood | 1: Yes –  0: No | 1.2.8 |
| 6. Any eggs: | | CONTEXTUALIZE  Eggs from poultry or other birds | 1: Yes  0: No | 1.2.8 |
| 7. Any dark green leafy vegetables,  such as: | | CONTEXTUALIZE: List examples of any medium-to- dark green leafy vegetables, including wild/foraged  leaves that are available in the local area | 1: Yes –  0: No | 1.2.8 |
| 8. Any vitamin A rich  fruits, vegetables and roots | | CONTEXTUALIZE: Pumpkin, carrots, squash or sweet potatoes that are yellow or orange inside  Ripe mango, ripe papaya etc. | 1: Yes  0: No | 1.2.8 |
| 9. Any other  vegetables | | CONTEXTUALIZE: List example of any other  vegetables available in the area | 1: Yes  0: No | 1.2.8 |
| 10. Any other fruits | | CONTEXTUALIZE: List example of any other fruits  that are available in the local area | 1: Yes  0: No | 1.2.8 |
| Remarks: | | Write any food mentioned by the respondent not listed  in any category, or the enumerator is unsure on where to categorize the food. |  |  |

END OF SURVEY FOR WOMEN

## Logical framework

| **Results Hierarchy** | **Indicators** | | | | **Means of Verification** | | | **Assumptions** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name** | **Baseline** | **Mid-Term** | **End Target** | **Source** | **Frequency** | **Responsibility** |
| **Outreach** | **1 Persons receiving services promoted or supported by the project** | | | | Progress reports/M&E System | Bi-annually | PCU | 1) 50% of persons receiving project support are women (based on National stat), 2) 40 % of persons receiving project support are the youth (50% of them are women, National Stat). The youth is defined as persons aged between 18 and 35 (inclusive). 3) Pastoralists are assumed to be 15% of the target group 4) HHs that receive project support are 20% female headed (based on National Stat. 5) 5 members on average in one HH 6) The estimate for indicator 1 is based on 40% of HHs, including 20% female-headed HHs, where at least one person receives service. In the remaining 60% of HHs, at least two persons receive service from the project. |
| Total number of persons receiving services | 0 | 120 000 | 240 000 |
| Males | 0 | 60 000 | 120 000 |
| Females | 0 | 60 000 | 120 000 |
| Total – Young | 0 | 48 000 | 96 000 |
| Young – male | 0 | 24 000 | 48 000 |
| Young – female | 0 | 24 000 | 48 000 |
| Total – Persons with disabilities | 0 | 3 750 | 7 500 |
| Persons with disabilities – male | 0 | 1 875 | 3 750 |
| Persons with disabilities – female | 0 | 1 875 | 3 750 |
| **1.b Estimated corresponding total number of households members** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Household members | 0 | 375 000 | 750 000 |
| **1.a Corresponding number of households reached** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Total – Households | 0 | 75 000 | 150 000 |
| Women-headed households | 0 | 15 000 | 30 000 |
| Non-women-headed households | 0 | 60 000 | 120 000 |
| **Project Goal**  Contribute to poverty reduction and improved resilience in selected landscapes/woredas | **Number of persons whose food security and nutrition has increased** | | | | COI surveys | Baseline, Midline and Completion | PCU | Among the estimated HH members of indicator 1.b, 75% of them experience an increase in food security and nutrition. For persons with disabilities the target is to increase their food and nutrition security of all participants. |
| Total household members (number) | 0 | 281 250 | 562 500 |
| Males | 0 | 140 625 | 281 250 |
| Females | 0 | 140 625 | 281 250 |
| Young Total | 0 | 112 500 | 225 000 |
| Young Male | 0 | 56 250 | 112 500 |
| Young Female | 0 | 56 250 | 112 500 |
| Persons with disabilities | 0 | 3 500 | 7 500 |
| Persons with disabilities – male |  | 1750 | 3750 |  |  |  |  |
| Persons with disabilities – female |  | 1750 | 3750 |  |  |  |  |
| **Number of persons whose combined resilience has increased (CRI)** | | | | Resilience Scorecard Tool (RDMT) surveys | Baseline, Midline and Completion | PCU and PARM | 1) From indicator 1a, which measures the project's outreach, 40% of HHs are targeted to be reached by the Mid-Term, and 75% of HHs are targeted to be reached by the end term. 2) 50% of persons receiving project support are women (based on National stat) |
| Total persons | 0 | 96 000 | 180 000 |
| Females | 0 | 48 000 | 90 000 |
| Male | 0 | 48 000 | 90 000 |
| **Development Objective** Enable 150,000 rural households (men, women, youth and PWDs) in selected kebeles to sustainably improve incomes, food and nutrition security and build resilience to shocks. | **1.2.8 Women reporting minimum dietary diversity (MDDW)** | | | | COI Surveys | Baseline, Midline and Completion | PCU | 1) Farmers reserve some of their farm produce for home consumption (A), Households are willing to change their nutrition behaviours (A) Extreme weather events causes losses and damages to agriculture sector and have major impacts to food and nutrition security in target regions (R). 2) At the mid-term, 10% of HHs or women are expected to report MDD-W. By the end term, this percentage is expected to increase to 25% of HHs or women reporting MDD-W. 3) At the mid-term, 13% of persons receiving service are expected to experience empowerment from the total outreach. By the end term, this percentage is anticipated to increase to 25% of persons experiencing empowerment.  The baseline value is calculated based on EFA calculations. The average crop net margin is calculated in USD/ha and the average household income is USD/hh |
| Women (number) | 0 | 6 000 | 30 000 |
| Women (%) | 0 | 10% | 25% |
| Households (number) | 0 | 7 500 | 37 500 |
| Households (%) | 0 | 10% | 25% |
| Household members (number) | 0 | 37 500 | 187 500 |
| Women-headed households | 0 | 1 500 | 7 500 |
| **IE.2.1 Individuals demonstrating an improvement in empowerment** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Total persons (number) | 0 | 31 200 | 60 000 |
| Total persons (%) | 0 | 13% | 25% |
| Females (number) | 0 | 15 600 | 30 000 |
| Females (%) | 0 | 13% | 25% |
| Males (number) | 0 | 15 600 | 30 000 |
| Males (%) | 0 | 13% | 25% |
| **Value of household income from project supported selected VCs** | | | | EFA | Baseline, Midline and Completion | PCU |
| Average crop net margin (USD/ha) | 1124 | 1 702 | 2 280 |
| Average household income (USD/hh) | 738 | 1 207 | 1 676 |
| **Outcome**   Outcome 1: Enhanced sustainable and equitable access to natural resources and increased agricultural productivity | **3.2.1 Tons of Greenhouse gas emissions (tCO2e) avoided and/or sequestered** | | | | FAO Exact tool | Baseline and Endline | PCU and validated by ECG | 1) Infrastructure is operational and well maintained (A) Community leaders and institutions embrace gender transformative approaches (A) All project beneficiaries will participate in SBCC interventions. 2) To estimate GHG emission reduction potential of investment through a standardized approach that is compounded by project duration (7 years) and capitalization phase (13 years in this case) so full emission reduction potential is manifested on a 20 year basis. 3) At the mid-term, it is expected that 38% of HHs and by the end term, 75% of HHs experiencing improvement from the total number of HHs.  Household level indicators will be disaggregated by household head (women-headed and men-headed) during baseline, MTR and completion studies. |
| Hectares of land | 0 | 50 000 | 100 000 |
| tCO2e/20 years | 0 |  | 11 900 000 |
| tCO2e/ha | 0 |  | (120) |
| tCO2e/ha/year | 0 |  | (6) |
| **1.2.4 Households reporting an increase in production** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Households (number) | 0 | 56 250 | 112 500 |
| Households (%) | 0 | 38% | 75% |
| Total number of household members | 0 | 281 250 | 562 500 |  |  |  |
| Women-headed households (number) | 0 | 11 250 | 22 500 |  |  |  |
| Men-headed households (number) |  |  | 540 000 |  |  |  |
| **3.2.2 Households reporting adoption of environmentally sustainable and climate-resilient technologies and practices** | | | | COI Surveys | Baseline, Midline and Completion | FPCMU and RPCMU |
| Households (number) | 0 | 56 250 | 112 500 |
| MHH |  | 45 000 | 90 000 |
| FHH |  | 11 250 | 22 500 |
| Households (%) | 0 | 38% | 75% |
| Total number of household members | 0 | 281 250 | 562 500 |
| Male |  | 140625 | 281250 |  |  |  |
| Female |  | 140625 | 281250 |  |  |  |
| **SF.2.2 Households reporting they can influence decision-making of local authorities and project-supported service providers** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Households (number) | 0 | 56 250 | 112 500 |
| Households (%) | 0 | 38% | 75% |
| Household members | 0 | 281 250 | 562 500 |
| Women-headed households (number) | 0 | 11 250 | 22 500 |
| **1.2.1 Households reporting improved access to land, forests, water or water bodies for production purposes** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Households reporting improved access to water (number) | 0 | 56 250 | 112 500 |
| MHH |  | 45 000 | 90 000 |
| FHH |  | 11 250 | 22 500 |
| Households reporting improved access to water (%) | 0 | 38% | 75% |
| Household members reporting improved access to water | 0 | 281 250 | 562 500 |
| **1.2.9 Households with improved nutrition Knowledge Attitudes and Practices (KAP)** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Households (number) | 0 | 56 250 | 112 500 |
| MHH |  | 45 000 | 90 000 |
| FHH |  | 11 250 | 22 500 |
| Households (%) | 0 | 38% | 75% |
|  | Household members (number) | 0 | 281 250 | 562 500 |  |  |  |  |
|  | Male |  | 140 625 | 281 250 |  |  |  |  |
|  | Female |  | 140 625 | 181 250 |  |  |  |  |
| **Output**   Output 1.1 Community led climate-resilient and natural resources management | **3.1.4 Land brought under climate-resilient practices** | | | | Progress reports/M&E System | Bi-annually | PCU | Based on restoration and improved management of 100,000ha of rangelands and farmlands as identified in the LIDPs.  Based on 15000ha under irrigation and 45000 from rainfed area and a ratio of 1 to 3. 150 communities from 90 targeted Woredas. 1 Woreda is expected to have at least 2 Kebeles benefiting.  One group is expected to have 1,000 members.  At least 90,000 cookstoves distribution and also additional technologies such as biogas and agro-forestry systems. |
| Hectares of land | 0 | 50 000 | 100 000 |
| **3.1.1 Groups supported to sustainably manage natural resources and climate-related risks** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Number of groups supported | 0 | 75 | 150 |
| Total number of group members | 0 | 75 000 | 150 000 |
| Male | 0 | 37 500 | 75 000 |
| Female | 0 | 37 500 | 75 000 |
| Young – total | 0 | 30 000 | 60 000 |
| Male | 0 | 15 000 | 30 000 |  |
| Female | 0 | 15 000 | 30 000 |  |
| **ASAP+ 1. Poor smallholder household members supported in coping with the effects of climate change** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Number of households | 0 | 75 000 | 150 000 |
| MHH |  | 60 000 | 120 000 |
| FHH |  | 15 000 | 30 000 |
| Number of household members | 0 | 120 000 | 240 000 |
| Males | 0 | 60 000 | 120 000 |
| Females | 0 | 60 000 | 120 000 |
| Young – total | 0 | 48 000 | 96 000 |
| Male | 0 | 24 000 | 48 000 |
| Female | 0 | 24 000 | 48 000 |
| **3.1.3: Persons accessing technologies that sequester carbon or reduce greenhouse gas emissions** | | | |
| Total persons accessing technologies | 0 | 50 000 | 100 000 |
| Female | 0 | 25 000 | 50 000 |
| Male | 0 | 25 000 | 50 000 |
| Young – total | 0 | 20 000 | 40 000 |
| Male | 0 | 10 000 | 20 000 |
|  | Female | 0 | 10 000 | 20 000 |
|  | Persons with disabilities – total | 0 | 2 500 | 5 000 |
|  | Male | 0 | 1 250 | 2 500 |
|  | Female | 0 | 1 250 | 2 500 |  |
| **Output**   Output 1.2 Climate resilient infrastructure developed | **1.1.2 Farmland under water-related infrastructure constructed/rehabilitated** | | | | Progress reports/M&E System | Bi-annually | PCU | Irrigation development acceptable in pastoral areas (A), Adequate water sources in dry areas (A). Based on 15,000ha under irrigation development. Development of 200 multiple purpose water systems for livestock and household use. 200 low-cost roadside market sheds, 20 accessible primary market sheds, construction of 40 warehouses for farmers’  primary cooperatives |
| Hectares of land | 0 | 5 000 | 15 000 |
| **Number of multipurpose water infrastructure developed** | | | |
| Water points | 0 | 100 | 200 |
| **2.1.6 Market, processing or storage facilities constructed or rehabilitated** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Total number of facilities | 0 | 130 | 260 |
| Market facilities constructed/rehabilitated | 0 | 110 | 220 |
| Storage facilities constructed/rehabilitated | 0 | 20 | 40 |
| **Output**   Output 1.3: Market-Oriented and Nutrition-sensitive Climate-resilient Food Production and Productivity improved | **1.1.4 Persons trained in production practices and/or technologies** | | | | Progress reports/M&E System | Bi-annually | PCU | All project beneficiaries will access training on production practices and or technologies. All project beneficiaries will participate in SBCC interventions. From the beneficiaries, assumed to be 15% are pastoralist and agro-pastoralist.  By mid-line 45 % of supported HHs will see an improve in their nutrition, and 60 % by end-line. |
| Total persons trained in crop | 0 | 102 000 | 204 000 |
| Male | 0 | 51 000 | 102 000 |
| Female | 0 | 51 000 | 102 000 |
| Young people trained in crop | 0 | 40 800 | 81 600 |
| Male |  | 20 400 | 40 800 |
| Female |  | 20 400 | 40 800 |
| Persons with disabilities trained in crop | 0 | 3 188 | 6 375 |
| Male |  | 1594 | 3 188 |  |  |  |
| Female |  | 1594 | 3 187 |  |  |  |
| Total persons trained in livestock | 0 | 18 000 | 36 000 |  |  |  |
| Male | 0 | 9 000 | 18 000 |  |  |  |
| Female | 0 | 9 000 | 18 000 |  |  |  |
| Young people trained in livestock | 0 | 7 200 | 14 400 |  |  |  |
| Males |  | 3 600 | 7 200 |  |  |  |
| Females |  | 3 600 | 7 200 |  |  |  |
| Persons with disabilities trained in livestock | 0 | 563 | 1 125 |  |  |  |
| Male |  | 282 | 563 |  |  |  |
| Female |  | 281 | 562 |  |  |  |
| **1.1.8 Households provided with targeted support to improve their nutrition** | | | | Progress reports/M&E System | Bi-annually | PCU |
| Total persons participating | 0 | 54 000 | 144 000 |
| Males | 0 | 27 000 | 72 000 |
| Females | 0 | 27 000 | 72 000 |
| Households | 0 | 33 750 | 90000 |
| MHH |  | 27 000 | 72000 |
| FHH |  | 6 750 | 18000 |
| Household members benefitted | 0 | 168 750 | 450 000 |
| Male |  | 84 375 | 225 000 |
| Female |  | 84 375 | 225 000 |
| Young | 0 | 21 600 | 57 600 |
| Male |  | 10 800 | 28 800 |
| Female |  | 10 800 | 28 800 |
| Number of persons with disabilities | 0 | 2 700 | 7 200 |
|  | Male |  | 1 400 | 3600 |  |  |  |  |
|  | Female |  | 1 300 | 3600 |  |  |  |  |
| **Outcome**   Outcome 2: Increased access to remunerative markets of targeted value chains | **2.2.1 Persons with new jobs/employment opportunities** | | | | COI Surveys | Baseline, Midline and Completion | PCU | Banks are willing to finance smallholder farmers (A), Young agripreneurs are reliable economic agents(A) No. of members in a cooperative Groups with partnership/agreements (A) 300 Youth supported enterprises can access funding (A)  Each RPO has around 12 leadership positions. The aspiration is that at least six leadership positions will be occupied by women. 30% of enterprise expected to be profitable by midterm and 60% by end term. |
| Total number of persons with new jobs/employment opportunities | 0 | 7 500 | 15 000 |
| Males | 0 | 3 750 | 7 500 |
| Females | 0 | 3 750 | 7 500 |
| Young | 0 | 3 000 | 6 000 |
| Males-young |  | 1500 | 3000 |
| Females- young |  | 1500 | 3000 |
| **2.2.3 Rural producers’ organizations engaged in formal partnerships/agreements or contracts with public or private entities** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Number of POs | 0 | 75 | 150 |
| Total number of POs members | 0 | 30 000 | 60 000 |
| Men PO members | 0 | 15 000 | 30 000 |
| Women PO members | 0 | 15 000 | 30 000 |
| Young PO members | 0 | 12 000 | 24 000 |
| Men PO members |  | 6 000 | 12 000 |
| Women PO members |  | 6 000 | 12 000 |
| Women in leadership position | 0 | 450 | 900 |
| **2.2.2 Supported rural enterprises reporting an increase in profit** | | | | COI Surveys | Baseline, Midline and Completion | PCU |
| Number of enterprises | 0 | 90 | 180 |
| Percentage of enterprises | 0 | 30% | 60% |
| **Output**   Output 2.1: Market Access and Business to Business linkages established | **2.1.3 Rural producers’ organizations supported** | | | | Progress reports/M&E System | Bi-annually | PCU | Based on 150 primary Cooperatives and 12 Unions. 25% of rural POs headed by women. |
| Total size of Pos (number of people) | 0 | 32 400 | 64 800 |
| Males | 0 | 16 200 | 32 400 |
| Females | 0 | 16 200 | 32 400 |
| Young | 0 | 16 200 | 32 400 |
| Males |  | 8100 | 16 200 |
| Females |  | 8100 | 16 200 |
| Rural POs supported (number) | 0 | 81 | 162 |
| Rural POs supported that are headed by women | 0 | 20 | 40 |
| **Output**   Output 2.2: Access to Financial Services for Producers and Agri-businesses improved | **2.1.1 Rural enterprises accessing business development services** | | | | Progress reports/M&E System | Bi-annually | PCU | Includes 300 Youth Led enterprises and 150 Cooperatives and unions. Members of 150 cooperative and 300 youth enterprises, 4 people estimated for each of the 300 Youth enterprises and about 400 cooperative members for each cooperative. Funding available for the entrepreneur training.  Each Rural Enterprise has around 12 leadership positions. The aspiration is that at least six leadership positions will be occupied by women. |
| Rural enterprises (number) | 0 | 225 | 450 |
| Rural enterprises members | 0 | 30 600 | 61 200 |
| Women in leadership position | 0 | 1350 | 2700 |
| **2.1.2 Persons trained in income-generating activities or business management** | | | |
| Persons trained in IGAs or BM (total) | 0 | 1 500 | 3 000 |
| Males | 0 | 750 | 1 500 |
| Females | 0 | 750 | 1 500 |
| Young | 0 | 1 500 | 3 000 |
| Males |  | 7500 | 1500 |
| Females |  | 7500 | 1500 |
| Persons with disabilities | 0 | 75 | 150 |
|  | Males |  | 38 | 75 |  |  |  |  |
|  | Females |  | 37 | 75 |  |  |  |  |
| **Outcome**   Outcome 3: Institutions and policy capacity strengthened | **SF.2.1 Households satisfied with project-supported services** | | | | COI Surveys | Baseline, Midline and Completion | PCU | New agriculture and rural development policies are approved (A) |
| Estimated household members | 0 | 318 750 | 637 500 |
| Households (number) | 0 | 63 750 | 127 500 |
| Households (%) | 0 | 43% | 85% |
| **Policy 3 Existing/new laws, regulations, policies or strategies proposed to policy makers for approval, ratification or amendment** | | | | MoA Records | Completion | MoA and PCU |
| Number | 0 |  | 2 |
| **Output**   Output 3.1: Policy support & engagement in PACT-related focused areas strengthened | **Policy 1 Policy-relevant knowledge products completed** | | | | Progress reports/M&E System | Bi-annually |  |  |
| Number | 0 | 2 | 4 |
| **Output**   Output 3.2: Institutions strengthened | **Supported Rural and Government Institutions** | | | | Progress reports/M&E System | Bi-annually |  | Considering the support of coordination for the Ethiopia Food System Transformation Road map implementation, and capacity building of government institutions |

## Theory of Change (ToC)

Smalholder farmers and pastoralists face the following challenges that limit their production, dietary diversity and market access:

* 1. land degradation; b) limited access to water and inefficient water management; c) inadequate extension services on priority value chains; d) vulnerability to climate change and limited access to improved climate smart technologies (inputs, mechanisation, climate and weather information); e) inadequate access to finance; f) limited social inclusion and malnutrition; g) limited access to remunerative markets and market infrastructure; h) Limited business capacities and inclusivity of Farmers’ Organisations; and i) Weak policy and institutional framework.

The Programme’s Theory of Change (ToC) is based on the assumptions that commercialization of pastoralists and small holder farmers, in drought prone areas with a depleted natural resource base, begins with inclusive community empowerment. The Programme will develop and facilitate the household and community capacities in the targeted landscape to develop inclusive, nutrition focused, community-led, and climate-smart landscape development and investments plans. These plans are the foundations to building sustainable and resilient communities.

Through the plans, the Programme will support communities to address production, climate, environment, gender and nutrition related challenges by supporting communities to invest in rehabilitating their landscapes. Equitably restoring degraded lands and transitioning to agroforestry farming, and whole community use of improved cookstoves, increases chances of participation in the voluntary carbon market. Providing communities with improved access to water for household use, livestock and irrigation, coupled with improved access to climate smart production technologies including through digital means, inputs, and training will improve production of market required commodities. Integrating nutrition and gender and social inclusion in the interventions, will lead to increased production and access to nutrient dense crop for household consumption for all.

To improve market participation, the project building on the capacities of pastoral and farmer organizations and youth led businesses that have benefitted from the production support. This will involve supporting them to effectively run their businesses and promote B2B deal making between producers and off-takers, physical and digital market platforms, supporting access to finance for cooperatives and business that support the value- chain including youth led businesses and investing in accessible market infrastructure, such as storage facilities, market sheds and last mile roads. This support will help to de-risk pastoralists and smallholder farmers and attract private sector stakeholders for increased incomes.

To achieve gender-transformative and socially inclusive results, Programme interventions will address underlying root causes of gender inequalities and social exclusion, and build women’s empowerment and social inclusion in the markets.

Capacity building of government and rural institutions in various areas including ICT4D and creating and supporting the implementation of enabling policy environment for private sector engagement through policy dialogue, gender transformation, disability inclusion and for youth inclusion will contribute to the success of the project interventions.

The investments are expected to contribute to: a) Improved adaptive capacity and decreased greenhouse gas emission; b) Increased and equitable access to water; c) Increased production and productivity of nutrient dense crops d) green jobs created and entrepreneurship for rural youths and women enhanced, including for those living with a disability; e) economic empowerment, increased voice and participation in decision-making bodies and more equitable workload for women f) increased access to improved dietary choices and nutritious foods; g) increased access to finance, markets and household incomes.

These outcomes would ultimately lead to climate resilient, inclusive and equitable agriculture income growth for rural households, food and nutrition security and equitable social and economic empowerment. Income growth is expected to consequently be re- invested back into production and marketing activities as part of a virtuous cycle. In order to assess project progress and achievements towards resilience-strengthening, the TOC integrates the collection and analysis of data provided through the implementation of Resilience Design and Monitoring Tool (RDMT) at Programme inception, MTR and at Programme completion.

**ANNEX 2**

**Qualification and Evaluation Criteria**

|  |  |  |
| --- | --- | --- |
| **Item** | **Criteria** | **Points** |
| The consulting firm's Renewed Registration Certificate is mandatory  Tax Clearance of FY 2023/24 is mandatory.  Must be registered in Value Added Tax (VAT).  Consultant list Registration Certificate from PPA.  For specific experience, evidence shall include successful experience in the execution of **at least 3 projects** of a similar nature and scope of works during the **last 5 years**. | | |
| **A.** | **General experience** |  |
| i | General experience: Firm has been in existence for 10 years | 5 |
| ii | The firm core business relevant to the assignment | 5 |
| **B.** | **Specific experience** |  |
| i | Successful and documented experience in conducting services similar to those required under this TOR at least 3 contracts within the last 5 years | 60 |
| ii | The firm experience in similar conditions or environments (rural development, agriculture, irrigation, household surveys, Ethiopia, etc.) | 10 |
| iii | Strong capacity in data management and statistics and utilization of kobo Toolbox or equivalent as a survey tool as evidenced in the tools and technology used in previous surveys executed successfully | 10 |
| **C.** | **Turnover of the firm: Financial capacity** |  |
| i | Average Turnover of the firm in 2021, 2022 and 2023 not less than ETB 10 million. | 10 |
|  | **Total Points** | **100** |
|  | **Minimum points required to pass** | **70 points** |

1. This document refers to legally constituted consulting firms as “consultant”. [↑](#footnote-ref-1)
2. The policy is accessible at [www.ifad.org/anticorruption\_policy](http://www.ifad.org/anticorruption_policy). [↑](#footnote-ref-2)
3. The policy is accessible at <https://www.ifad.org/en/document-detail/asset/40738506>. [↑](#footnote-ref-3)
4. The policy is accessible at <https://www.ifad.org/en/document-detail/asset/41942012>. [↑](#footnote-ref-4)
5. The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: http://crossdebarment.org/. [↑](#footnote-ref-5)